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Reduction of a problem of finiteness of Tate-Shafarevich group to a result of Zagier type

*To Nikolay Vasilievich Kuznetsov
on the occasion of his 70th birthday
with esteem and gratitude*

Kolyvagin proved that the Tate-Shafarevich group of an elliptic curve over \mathbb{Q} of analytic rank 0 or 1 is finite, and that its algebraic rank is equal to its analytic rank. A program of generalisation of this result to the case of some motives which are quotients of cohomology motives of high-dimensional Shimura varieties and Drinfeld modular varieties is offered. We prove some steps of this program, mainly for quotients of H^7 of Siegel sixfolds. For example, we “almost” find analogs of Kolyvagin’s trace and reduction relations. Some steps of the present paper are new contribution, because they have no analogs in the case of elliptic curves. There are still a number of large gaps in the program. The most important of these gaps is a high-dimensional analog of a result of Zagier about ratios of Heegner points corresponding to different imaginary quadratic fields on a fixed elliptic curve. The author suggests to the readers to continue these investigations.

Key words: *Tate-Shafarevich group, motives, Shimura varieties, Euler systems*

0. Introduction

Let E be an elliptic curve over \mathbb{Q} of analytic rank 0 or 1. Kolyvagin ([1], [2] and subsequent papers) proved the

Theorem 0.1. (a) $SH(\mathbb{Q}, E)$ – the Tate-Shafarevich group of E over \mathbb{Q} – is finite;

(b) the rank of $E(\mathbb{Q})$ is equal to the analytic rank of E . There is the following problem

0.2. Generalise (0.1) to the case of some motives which are quotients of cohomology motives of Shimura varieties and/or Drinfeld modular varieties.

It turns out that (0.2) is a very difficult problem. The present paper is the third in a series of papers (the first two papers are [3], [4]) whose purpose is

(1) To offer a program of a proof of (0.2) (quoted below as The Program);

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(2) To prove some steps of The Program, especially for submotives of H^7 of a Siegel sixfold X . The main unconditional result is Theorem 2.13. The main conditional result is described in 5.5.

The Program follows the ideas of the original Kolyvagin's proof of (0.1), with some modifications and new steps; for the most essential new contributions see 2.1a,b and Section 3. Since the main property of analogs of Euler systems for the present case is weaker than in [1], [2], these analogs are called pseudo-Euler systems.

It is necessary to emphasize that for 4 different types of Shimura varieties X and Hecke correspondences \mathfrak{X}_p on X :

- (a) X is a Siegel variety of genus 2, $\mathfrak{X}_p = T_p$;
- (b) X is a Siegel variety of genus 3, $\mathfrak{X}_p = T_p$;
- (c) X is a Siegel variety of genus 3, $\mathfrak{X}_p = T_{p,1}$;
- (d) X is a Siegel variety of genus ≥ 4 , $\mathfrak{X}_p = T_p$

we get obstacles of 4 different types to the realization of The Program (one obstacle for one type of X , \mathfrak{X}_p). See Remark 1.6a (and also [5]) for the type (a), Section 4.4 for the type (b), and obstacles of types 2c, 2d (see below) for the types (c), (d) respectively. Maybe for the latter two cases these obstacles will be got over.

Are there cases where there is no obstacles? I don't know.

Let us describe now the steps of The Program which are not made yet. They can be subdivided into 2 types.

Problems of type 1 are well-known conjectures of general mathematical interest concerning the main objects of the present paper:

- (0.3) Langlands conjecture for Siegel sixfolds X ;
- (0.4) Conjectures about existence and properties of quotient motives of $H^7(X)$;
- (0.5) Serre conjecture on the image of l -adic representations in their cohomology;
- (0.6) Problem of construction and properties of smooth compact models of X .

The origin of the problems of type 2 is The Program itself. They can be subdivided into 4 subtypes.

Problems of type 2a are of purely technical nature. They are easy but time-consuming. Their list is given in the main text.

Problems of type 2b are more complicated, but without doubt solvable. For example, these are problems of rigorous proof of properties of reductions of some subvarieties of Siegel varieties (Sections 4, 6).

Problems of type 2c exist thanks to a phenomenon which does not occur in the proof of (0.1), namely the existence of the so-called bad components (see [4]). A rough analog of the first problem of type 2c in the 1-dimensional case is a calculation of Gross-Kohnen-Zagier ([6], [7]) of ratios of Heegner points corresponding to different imaginary quadratic fields on a fixed elliptic curve over \mathbb{Q} .

In our case analogs of

Heegner points corresponding to different imaginary quadratic fields

are

some cycles on X .

These cycles depend on a prime p . So, the first unsolved problem is to prove the existence of ratios of Abel - Jacobi images of these cycles for different p , and to calculate these ratios. The second problem is to prove existence of p such that this ratio does not satisfy a certain congruence. See (1.7)–(1.10) for details. Maybe these problems will be solved by a method similar to [6] (see Appendix 3).

The fact that the problems of type 2c seem to be the most complicated among other unsolved problems for the case when X is a Siegel sixfold, explains the title of the paper.

If X is a Siegel variety of genus ≥ 4 then we cannot find reductions of some Shimura subvarieties of X (relevant to our situation) using methods of Sections 4, 6 of the present paper, although this is necessary for the realisation of The Program. This obstacle is of type 2d. See section 4.5 for details.

The structure of [3], [4] and the present paper is the following. Properties of quotient motives of $H^7(X)$ are given in [3]. These results are conditional, we assume the truth of (0.3), (0.4). [4] contains a generalization of Kolyvagin's trace relations (see (1.3), (1.4)) for X and a Hecke correspondence on X .

Section 1 of the present paper contains a survey of Kolyvagin's proof of (0.1) and the corresponding steps of The Program, together with the detailed description of the present paper. In Section 2 we collect together unconditional steps of The Program obtaining a criterion of finiteness of $SH(\mathbb{Q}, E)$ and $E(\mathbb{Q})$ of an abelian variety E over \mathbb{Q} (Theorem 2.13). The crucial object of the statement of Theorem 2.13 is an operator $U(p)$; proof of its existence is equivalent to the solution of problems of type 2c.

In Section 3 we give a universal method of construction of cycles on Shimura varieties which are homologically equivalent to 0.

Sections 4–6 give ideas of application of Theorem 2.13 to Siegel sixfolds. Practically, their contents is a generalization of Kolyvagin's reduction relation (1.5). The case $\mathfrak{T}_p = T_p$ (resp. $\mathfrak{T}_p = T_{p,1}$) is treated in Section 4 (resp. 6). These results are conjectural. The problem of finding the exact answers to the above problems are problems of type 2b. Moreover, we formulate all propositions as if E were an abelian variety. Really, E is a quotient motive of $H^*(X)$. The problem of rewriting of all propositions in terms of cohomology groups of motives is a problem of type 2a. This is made (for another situation) in [8].

Sections 4.3–4.4 contain a “counterexample”: the case of a Siegel sixfold and a Hecke correspondence T_p . This case is interesting, because in spite of the existence of bad components we are able to get an exact value of $U(p)$. Unfortunately, in this case $U(p)$ does not satisfy condition (2.15b) of Theorem 2.13, so we cannot prove finiteness of SH using T_p .

This example is included for 2 reasons. Firstly, there is still the possibility of error in arguments (change of a sign would be sufficient!), which can imply a happy end. The second reason (the main one) is the following: maybe this method gives a non-trivial result in the functional field case.

Further, Section 4.5 contains a case of a Siegel variety of genus 4. We get that probably in this case there is no obstacle of type 2c but a new obstacle of type 2d appears.

Section 5 contains a possible example where there are no trivial arguments that $U(p)$ is always “bad”: the case of a Siegel sixfold and the Hecke correspondence $T_{p,1}$.

A more complete version of the present paper can be found in internet (arxiv.org).

1. Survey of Kolyvagin's proof, and parallel steps of the present paper

For the convenience of the reader, we give here a survey of ideas of the original Kolyvagin's proof for the case of E of analytic rank 0 (all details of secondary importance are omitted). They will be marked by (*). In parallel, we indicate the corresponding steps of the present paper, they will be marked by (**). We do not consider here the case of E of analytic rank 1, because even the case of rank 0 is rather complicated. We use notations of Kolyvagin and we use [9] for references.

(*) Let N be a level, $X_0(N) = \overline{\Gamma_0(N) \backslash \mathcal{H}}$ the compactification of the modular curve of level N , $\varphi : \text{Alb}(X_0(N)) \rightarrow E$ a Weil map to an elliptic curve E over \mathbb{Q} . Let l and $M = l^n$ be a fixed prime and its power (both l and M are denoted in [9] by p). Further, let K be an imaginary

quadratic field (in order to simplify the proofs we consider only the case when $h(K) = 1$). We denote by $\text{Sel}(E/\mathbb{Q})_M$ the Selmer group.

Recall the definition of Heegner point. Points t on the open part of $X_0(N)$ are in one-to-one correspondence with the isogenies of elliptic curves $\psi_t : A_t \rightarrow A'_t$ such that $\text{Ker } \psi_t = \mathbb{Z}/N\mathbb{Z}$. A point $t \in X_0(N)$ is called a Heegner point with respect to K if both A_t, A'_t have complex multiplication by the same order of K . A Heegner divisor is a Galois orbit of a Heegner point; Heegner divisors are exactly 0-dimensional Shimura subvarieties of $X_0(N)$ in the sense of Deligne ([10]).

φ is defined on divisors of degree 0 on $X_0(N)$. To transform a Heegner divisor of degree d to a divisor of degree 0, we subtract d times the image of the cusp $i\infty$ on $X_0(N)$. Its φ -image is a Heegner point on E . For a given K there exists the ‘‘principal’’ Heegner divisor $x_1 \in \text{Div}(X_0(N))(K)$ (which is one point if $h(K) = 1$) and its φ -image – the ‘‘principal’’ Heegner point $y_1 \in E(K)$ which are the main objects of [1] (see also [9], first page).

The main result of [1] is the

Proposition 1.1. If y_1 is not a torsion point and $\text{Tr}_{K/\mathbb{Q}}(y_1) \in E(\mathbb{Q})$ is a torsion point, then there exists \mathfrak{c} which does not depend on l, M such that $\mathfrak{c}\text{Sel}(E/\mathbb{Q})_M = 0$.

Finiteness of $SH(E/\mathbb{Q})$ and $E(\mathbb{Q})$ follows immediately from this proposition. For simplicity, we shall consider in this survey only the case $\text{Tr}_{K/\mathbb{Q}}(y_1) = 0$.

(**) In the most general setting of (0.2) an analog of $X_0(N)$ is any ‘‘modular object’’ X and an analog of a Heegner divisor on $X_0(N)$ is a subobject V of X . For example, in [11] we treat the case when X is a quaternionic Shimura curve.

Particularly, let X be a smooth compact model of a Shimura variety and V a codimension d cycle on X such that

(a) V is homologically equivalent to 0;

(b) The support of V is a union of Shimura subvarieties of X and cycles with support at infinity;

(c) X and V are defined over a number field k .

There exists the l -adic Abel - Jacobi image of V

$$\text{cl}'(V) \in H^1(k, H_{\text{et}}^{2d-1}(X \otimes \bar{\mathbb{Q}}, \mathbb{Z}_l(d)))$$

Let E be a quotient motive of $H^{2d-1}(X)$; it is an analog of the elliptic curve E of [1]. We can prolonge cl' to the l -adic cohomology of E , this is an analog of φ of [1].

Definitions of analogs of SH and of the rank of $E(\mathbb{Q})$ are given in [12]. The main theorem 2.13 of the present paper is formulated for the case when E is an abelian variety. Since quotients of cohomology motives of Shimura varieties which are treated in the present paper are not motives of abelian varieties, we need an analog of Theorem 2.13 for motives. This proof is not given; this problem is of type 2a, it can be solved as in [8]. Analogously, in Sections 4 – 6 we treat E as if it were an abelian variety.

Most calculations of the present series of papers are made for the case when X is a smooth compact model of a Siegel sixfold of level N , and V is a Picard modular surface (if $h(K) = 1$). So, $d = 4$ and E is an irreducible quotient motive of $H^7(X)$.

The definition of V and of the inclusion $V \hookrightarrow X$ is given in [4]. Recall that points of the open part of X parametrize isogenies of abelian threefolds with kernel $(\mathbb{Z}/N\mathbb{Z})^3$ and points of V correspond to those threefolds whose endomorphism algebra is the maximal order of K .

We do not consider in the present paper problems related to a smooth compact model of X . These problems are of type (0.6).

(*) We denote $\mathcal{V} = K(E_M)$ the field generated by M -torsion points of E (it is denoted by V in [1] and by L in [9], Section 9, p. 249). We consider the l -adic representation

$$\rho_l : \text{Gal}(K) \rightarrow \text{Aut}(E_M) = GL_2(E_M)$$

(1.1a) We shall consider in this survey only cases when ρ_l is a surjection. The general case can be easily reduced to this one.

We choose a prime p such that

(1.2) The Frobenius of p in \mathcal{V}/\mathbb{Q} is the complex conjugation.

(p is denoted in [9] by $n = l_1 \cdots l_k$ or, if $k = 1$, simply by l . For the case when the analytic rank of E is 0 we can choose $k = 1$, $n = p$ a prime).

Particularly, p is inert in K . Let us recall (for the case $h(K) = 1$) the definition of the ring class field K^p of K (denoted by K_n in [9]): it is the only abelian extension of K with Galois group $\text{Gal } K^p/K = \mathbb{Z}/(p+1)\mathbb{Z}$, non-ramified outside p , totally ramified at p and such that the corresponding subgroup of the idele group of K contains the idele whose p -component is p and other components are 1. We denote $\text{Gal } K^p/K$ by $G = G_p$, and we choose and fix its generator $g = g_p$ (denoted by σ_l in [9]).

We have the Hecke correspondence T_p on $X_0(N)$. Its restriction to E is multiplication by a_p – the p -th Fourier coefficient of the normalised cusp form of weight 2 corresponding to E .

Attached to p are a Heegner point $x_p \in X_0(N)(K^p)$ and its φ -image – a Heegner point $y_p \in E(K^p)$ ([9], p. 238). There are formulas:

$$\text{Tr}_{K^p/K}(x_p) = T_p(x_1) \quad (1.3)$$

(equality of divisors on $X_0(N)$);

$$\text{Tr}_{K^p/K}(y_p) = a_p y_1 \quad (1.4)$$

$$\tilde{y}_p = \text{fr}(\tilde{y}_1) \quad (1.5)$$

where tilde means reduction at a valuation over p and fr is the Frobenius automorphism of $\overline{\mathbb{F}}_p$ ([9], Proposition 3.7). (1.3), (1.4) are called Kolyvagin's trace relations for $X_0(N)$ and E respectively, and (1.5) is called Kolyvagin's reduction relation.

(**) The first step of The Problem is to generalize these trace and reduction relations. The problems of generalization of (1.3), (1.5) are of independent interest regardless of their application to a solution of The Problem for some cases.

The obtained results are the following. The paper [4] is devoted to finding of analogs of (1.3) for the case when X is a Siegel variety and \mathfrak{T}_p a p -Hecke correspondence on X . There exist 2 finite sets L_{good} , L_{bad} (depending on \mathfrak{T}_p), and for all $i \in L_{good}$ (resp. $j \in L_{bad}$) there are irreducible subvarieties $V_{p,i}$, $V_{p,j}$ defined over K^p (resp. over K) such that we have an equality of cycles on X :

$$\mathfrak{T}_p(V) = \left(\bigcup_{i \in L_{good}} \alpha_{p,i} \left(\bigcup_{\beta=0}^p g^\beta(V_{p,i}) \right) \right) \cup \left(\bigcup_{j \in L_{bad}} \alpha_{p,j}(V_{p,j}) \right) \cup \alpha V \quad (1.6)$$

where $\alpha_{p,i}$, $\alpha_{p,j}$, α are multiplicities. [4] gives the complete answer (i.e. finding of L_{good} , L_{bad} , $V_{p,i}$, $V_{p,j}$, $\alpha_{p,i}$, $\alpha_{p,j}$, α) for the case X is a Siegel sixfold, and $\mathfrak{T}_p = T_p$ is the simplest p -Hecke correspondence. Partial answers are obtained for the cases:

1. X is a Siegel sixfold, $\mathfrak{T}_p = T_{p,1}$ the p -Hecke correspondence defined by the matrix $\text{diag}(1, 1, p, p^2, p^2, p)$.

2. X is a Siegel variety of genus > 3 , $\mathfrak{T}_p = T_p$ is the simplest p -Hecke correspondence.

Remark 1.6a. Inclusion $V \hookrightarrow X$ corresponds to an inclusion of reductive groups $GU(r, s) \hookrightarrow GSp_{2g}$ where r, s is the signature of the unitary group, $r + s = g$. It is known that the maximal field of definition of components of $T_p(V)$ is K^p if $r \neq s$ and K if $r = s$, i.e. $L_{good} \neq \emptyset$ iff $r \neq s$. Existence of good components is a necessary condition for our construction of pseudo-Euler systems. Particularly, for $g = 2$ the method of the present paper does not give pseudo-Euler systems. This is why we consider the case $g = 3$.

In order to use Abel-Jacobi map, we apply the construction of Section 3 to $V, V_{p,i}, V_{p,j}$. This construction will give us cycles which are homologically equivalent to 0. Their Abel-Jacobi images are denoted by $y_1, y_{p,i}, y_{p,j}$ respectively. We treat them as elements of an abelian variety E , i.e. $y_1, y_{p,j} \in E(K), y_{p,i} \in E(K^p)$.

The origin of obstacle of type 2c of The Program is the existence of bad components. Since $y_{p,j} \in E(K)$ and for a “general” E the rank of $E(K)$ is 1, we can formulate

Conjecture 1.7. There exists a coefficient $x_{p,j} \in \mathbb{Q}$ such that

$$y_{p,j} = x_{p,j}y_1 \quad (1.8)$$

We denote $\mathfrak{r}_p = \sum_{j \in L_{bad}} \alpha_{p,j}x_{p,j}$.

It turns out that in order to use Theorem 2.13. we must

(1.9). Find the residue of $x_{p,j}$ (or of \mathfrak{r}_p) modulo M^2 .

(1.10). Prove existence of p (satisfying other conditions of Theorem 2.13) such that \mathfrak{r}_p/M is not congruent mod l to some number that can be calculated explicitly.

See 5.5 for the final result.

Remark 1.11. Roughly speaking, we can find $x_{p,j}$ modulo M (Sections 4, 6). For the case $\mathfrak{T}_p = T_p$ we have: L_{bad} consists of one element j_1 , and $\alpha_{p,j_1} = p + 1$. Since $p + 1$ is a multiple of M , knowledge of $x_{p,j}$ modulo M implies knowledge of x_p modulo M^2 . Unfortunately, condition (2.15b) of Theorem 2.13 is not satisfied in this case.

(*) Condition (1.2) implies (see for example [9], (3.3))

$$M|(p+1) \quad (1.12)$$

$$M|a_p \quad (1.13)$$

Now we consider a commutative square

$$\begin{array}{ccc} E(K)/ME(K) & \rightarrow & H^1(K, E_M) \\ \downarrow & & \downarrow \\ [E(K^p)/ME(K^p)]^G & \xrightarrow{\delta_p} & [H^1(K^p, E_M)]^G \end{array} \quad (1.14)$$

(the left square of [9], (4.2) – we need only this left square). We denote the right vertical map of (1.14) by Res. (1.1a), (1.2) imply that Res is an isomorphism.

Let $P \in E(K^p)$ be an element such that its image in $E(K^p)/ME(K^p)$ is G -stable. This means that $g(P) - P \in ME(K^p)$. We denote by c the element $\text{Res}^{-1}(\delta_p(P)) \in H^1(K, E_M)$

(1.1a), (1.2) imply that $E_M \cap E(K^p) = 0$. This means that the element

$$B = \frac{g(P) - P}{M} \in E(K^p) \quad (1.15)$$

is well-defined.

We can identify E_M and \tilde{E}_M . Since g acts trivially on \tilde{E} , we have

$$\tilde{B} \in \tilde{E}_M = E_M \quad (1.16)$$

Let us consider the localization of c at p . We denote by K_p, K_p^p localizations at p of K, K^p respectively. Let $K_p^{(M)}$ be the maximal abelian extension of K such that $\text{Gal}(K_p^{(M)}/K_p)$ is an M -torsion group, and let $K_p^{p,(M)}$ be the subfield of K_p^p of degree M over K_p . We restrict $g \in \text{Gal}(K^p/K)$ to an element of $\text{Gal}(K_p^{p,(M)}/K_p)$ which we denote by g as well.

(1.16a) Since $K_p^{(M)}/K_p$ is the composite of the disjoint extensions $K_p^{p,(M)}/K_p$ and $\mathbb{Q}_{p^{2M}}/K_p$ – the non-ramified extension of degree M of $K_p = \mathbb{Q}_{p^2}$, we can consider $g \in \text{Gal}(K_p^{p,(M)}/K_p)$

as an element of $\text{Gal}(K_p^{(M)}/K_p)$. Further, we denote the Frobenius automorphism of $\mathbb{Q}_{p^{2M}}$ over K_p by fr_{K_p} , and we can consider fr_{K_p} as an element of $\text{Gal}(K_p^{(M)}/K_p)$ as well.

Formula (1.2) implies that

$$H^1(K_p, E_M) = \text{Hom}(\text{Gal}(K_p), E_M) = \text{Hom}(\text{Gal}(K_p^{(M)}/K_p), E_M).$$

We denote by loc_p the localization map

$$H^1(K, E_M) \rightarrow H^1(K_p, E_M) = \text{Hom}(\text{Gal}(K_p^{(M)}/K_p), E_M).$$

This means that $\text{loc}_p(c)(g) \in E_M$ is well-defined, and we have the following formula of purely cohomological nature (it follows immediately from the reduction of [9], (4.6)):

$$\text{loc}_p(c)(g) = \tilde{B} \tag{1.17}$$

Now we apply the above formulas to the element

$$D = D_p = \sum_{i=0}^p ig^i(y_p) \in E(K^p) \tag{1.18}$$

([9], (4.1); notation of [9] is P_n). (1.4), (1.12), (1.13) imply that $D_p \in [E(K^p)/ME(K^p)]^G$; the corresponding B, c are denoted by $B_p, c(p)$. The element $c(p) \in H^1(K, E_M)$ is an element of level 1 of an Euler system. (1.17) becomes

$$\text{loc}_p(c(p))(g) = \tilde{B}_p \tag{1.19}$$

Now we consider the image of y_1 in $E(K)/ME(K) \hookrightarrow H^1(K, E_M)$ and denote it by $c(1)$. (1.16a) shows that $\text{loc}_p(c(1))(\text{fr}_{K_p}) \in E_M = \tilde{E}_M$ is well-defined. We have:

$$\tilde{B}_p = -\text{fr}(\text{loc}_p(c(1))(\text{fr}_{K_p})) \tag{1.20}$$

where the first $\text{fr} \in \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p)$ acts on \tilde{E}_M . (1.20) follows from the definitions of $D_p, B_p, c(1)$, formulas (1.4), (1.5), (1.12), (1.13) and the formula for the characteristic polynomial of Frobenius on \tilde{E} : $\text{fr}^2 - a_p \text{fr} + p = 0$. See [9], calculations on the upper half of page 246. So, we have a formula

$$\text{loc}_p(c(p))(g) = -\text{fr}(\text{loc}_p(c(1))(\text{fr}_{K_p})) \tag{1.21}$$

(the main property of Euler systems of level 1).

Remark 1.21a. Since for high-dimensional cases the characteristic polynomial of Frobenius on \tilde{E} is distinct from $\text{fr}^2 - a_p \text{fr} + p = 0$, (1.20) and (1.21) do not hold in high-dimensional cases.

Now let $s \in \text{Sel}(E/\mathbb{Q})_M \hookrightarrow H^1(K, E_M)$ be any element. We want to show that $s = 0$ (some non-essential multipliers that contribute to \mathfrak{c} of (1.1) are neglected). We consider the Tate pairing ([9], 7.3) of s and $c(p)$:

$$\langle s, c(p) \rangle \in \text{Br}(K)$$

The global Tate pairing is the sum of local ones. The local Tate pairing of 2 non-ramified elements is 0. The sum of invariants of elements of $\text{Br}(K)$ is 0, $c(p)$ is non-ramified at all points of K except p , and s is non-ramified at all points of K . This means that the local Tate pairing of s and $c(p)$ at p is 0:

$$\langle \text{loc}_p(s), \text{loc}_p(c(p)) \rangle = 0 \tag{1.22}$$

There exists a formula for the local Tate pairing: if $s_1, s_2 \in H^1(K_p, E_M) = \text{Hom}(\text{Gal}(K_p^{(M)}/K_p), E_M)$ and s_1 is non-ramified, then we have (after some identification of $\frac{1}{M}\mathbb{Z}/\mathbb{Z}$ and the group of M -th roots of 1 depending on a choice of g)

$$\text{Inv}(\langle s_1, s_2 \rangle) = [s_1(\text{fr}_{K_p}), s_2(g)] \tag{1.23}$$

where g and fr_{K_p} are from (1.16a), and $[\ast, \ast]$ is the Weil pairing. See [9], (7.6). Applying (1.23) to the case $s_1 = \text{loc}_p(s)$, $s_2 = \text{loc}_p(c(p))$ we get from (1.21), (1.22):

$$[\text{loc}_p(s)(\text{fr}_{K_p}), \text{loc}_p(c(1))(\text{fr}_{K_p})] = 1 \quad (1.24)$$

(**) We indicate in Section 1 a work-around that permits us to prove (1.24) in high-dimensional cases, in spite of Remark 1.21a. From now on a large segment of proof of (0.1) (formulas 1.24 – 1.37) coincides with the corresponding steps of the proof of Theorem 2.13, with the following difference: in high-dimensional cases E is an abelian variety of dimension \mathfrak{d} (instead of an elliptic curve). In order to avoid repeating, we give here some necessary modifications and later (in 1.25a) we continue to give the survey of the proof of (0.1) under the assumption that E is an abelian variety.

As earlier we denote $\mathcal{V} = K(E_M)$, so $H^1(\mathcal{V}, E_M) = \text{Hom}(\text{Gal } \mathcal{V}, E_M)$. We consider the l -adic representation

$$\rho_l : \text{Gal}(K) \rightarrow \text{Aut}(E_M) = \text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$$

(1.25) We shall consider only cases when ρ_l is a surjection (analog of (1.1a) in 1-dimensional case).

After proving the Serre conjecture for E , the reduction of the general case to the condition (1.25) is easy; this is a problem of type 2a.

If ρ_l is a surjection then the restriction map $H^1(K, E_M) \rightarrow H^1(\mathcal{V}, E_M)$ is an inclusion (because for $i = 1, 2$ $H^i(\text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M), E_M) = 0$, the proof for $\mathfrak{d} = 1$ in [9], (9.1) is valid for any \mathfrak{d}) and $\text{Gal}(\mathcal{V}/K) = \text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$.

(*) (1.25a) There are maps

$$E(K) \rightarrow E(K)/M \rightarrow H^1(K, E_M) \rightarrow H^1(\mathcal{V}, E_M) = \text{Hom}(\text{Gal } \mathcal{V}, E_M)$$

For any element $\alpha \in E(K)$ or $\alpha \in H^1(K, E_M)$ we denote by $\alpha_{(1)}$ its image in $\text{Hom}(\text{Gal } \mathcal{V}, E_M)$. Throughout the paper t will mean an element of $E(K)$ or $H^1(K, E_M)$. In both cases $\text{Ker}(t_{(1)})$ is a subgroup of $\text{Gal } \mathcal{V}$.

(1.26) We denote by $W(t)$ the extension of \mathcal{V} that corresponds to $\text{Ker}(t_{(1)})$.

We can consider $t_{(1)}$ as an injection from $\text{Gal}(W(t)/\mathcal{V})$ to E_M ; we denote this injection by $t_{(2)}$.

We denote by σ the complex conjugation.

Lemma 1.27. $W(t)/K$ is a Galois extension. If moreover there exists $\varepsilon_t = \pm 1$ such that

$$\sigma(t) = \varepsilon_t \cdot t \quad (1.28)$$

then $W(t)/\mathbb{Q}$ is a Galois extension. \square

The Galois group $\text{Gal}(\mathcal{V}/K) = \text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$ acts on $\text{Gal}(W(t)/\mathcal{V})$.

Lemma 1.29. $t_{(2)}$ is a $\text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$ -homomorphism (respectively the above action of $\text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$ on $\text{Gal}(W(t)/\mathcal{V})$ and the natural action of $\text{GSp}_{2\mathfrak{d}}(\mathbb{Z}/M)$ on E_M). \square

(1.29a.) Let $\mathfrak{g} \in \text{Gal}(W(t)/\mathcal{V}) \subset \text{Gal}(W(t)/\mathbb{Q})$ and $p \in \mathbb{Z}$ a prime such that $\text{fr}_p(W(t)/\mathbb{Q}) = \sigma\mathfrak{g}$.

Lemma 1.30. Such p satisfies (1.12), (1.13). \square

Further we shall consider only p satisfying (1.29a) for some \mathfrak{g} .

Lemma 1.31. If $t \in H^1(K, E_M)$ is non-ramified at p (particularly, if $t \in E(K)$) then

$$t_{(2)}((\sigma\mathfrak{g})^2) = \text{loc}_p(t)(\text{fr}_{K_p}) \quad \square$$

Let $\text{Gal}(\mathcal{V})^{(M)}$ be the maximal abelian M -torsion quotient group of $\text{Gal}(\mathcal{V})$. For each subset $C \subset \text{Hom}(\text{Gal}(\mathcal{V}), E_M) = \text{Hom}(\text{Gal}(\mathcal{V})^{(M)}, E_M)$ we consider (following [9]) an extension $W(C) \supset \mathcal{V}$ that corresponds to a subgroup

$$H(C) = \bigcap_{h \in C} \text{Ker } h \subset \text{Gal}(\mathcal{V})$$

(1.31a). Now we return to the above s, y_1 . Let $\langle *, * \rangle$ denote the linear envelope of elements. We take $C = \langle s_{(1)}, (y_1)_{(1)} \rangle$, and we denote $W(\langle s_{(1)}, (y_1)_{(1)} \rangle)$ simply by W . For $\mathfrak{g} \in \text{Gal}(W/\mathcal{V})$ let $\mathfrak{g}_s, \mathfrak{g}_{y_1}$ be projections of \mathfrak{g} on $\text{Gal}(W(s)/\mathcal{V}), \text{Gal}(W(y_1)/\mathcal{V})$ respectively.

We shall use the following version of 1.29a. For $\mathfrak{g} \in \text{Gal}(W/\mathcal{V}) \subset \text{Gal}(W/\mathbb{Q})$ we shall consider primes $p \in \mathbb{Z}$ such that $\text{fr}_p(W/\mathbb{Q}) = \sigma\mathfrak{g}$.

According (1.31), we get that (1.24) becomes

$$[s_{(2)}((\sigma\mathfrak{g}_s)^2), (y_1)_{(2)}((\sigma\mathfrak{g}_{y_1})^2)] = 1 \quad (1.32)$$

Both $s \in H^1(K, E_M), y_1 \in E(K)$ satisfy (1.28) with $\varepsilon_s = 1, \varepsilon_{y_1} = -1$. Let us calculate $t_{(2)}((\sigma\mathfrak{g})^2)$ for any t satisfying (1.28) and any $\mathfrak{g} \in \text{Gal}(W(t)/\mathcal{V})$. Clearly σ acts on both $\text{Gal}(W(t)/\mathcal{V})$ and E_M . We have $(\sigma(t_{(2)}))(\mathfrak{g}) = \sigma(t_{(2)}(\sigma\mathfrak{g}\sigma^{-1}))$, hence

$$t_{(2)}((\sigma\mathfrak{g})^2) = t_{(2)}(\mathfrak{g}) + \varepsilon_t \sigma(t_{(2)}(\mathfrak{g})) \quad (1.35)$$

The idea of the end of the proof of (0.1) is the following. We choose \mathfrak{g} such that for both $t = s, t = y_1$ we have

$$\sigma(t_{(2)}(\mathfrak{g})) = \varepsilon_t t_{(2)}(\mathfrak{g}) \quad (1.36)$$

In this case (1.35) becomes $t_{(2)}((\sigma\mathfrak{g})^2) = 2t_{(2)}(\mathfrak{g})$ and (1.32) becomes (we consider only case $l \neq 2$)

$$[s_{(2)}(\mathfrak{g}_s), (y_1)_{(2)}(\mathfrak{g}_{y_1})] = 1 \quad (1.37)$$

(1.38). Since y_1 is not a torsion point, we get that $(y_1)_{(2)}$ is “far from 0” (i.e. if $l^k(y_1)_{(2)} = 0$ then k is a large number). Since the Weil pairing is non-degenerate and eigenvalues of s and y_1 with respect to σ are opposite (1 for s and -1 for y_1), (1.37) implies that $s_{(2)}$ is “close to 0” (i.e. there exists a small number k such that $l^k s_{(2)} = 0$). Practically this implies $s = 0$ (we neglect some multipliers that contribute to \mathfrak{c} of proposition 1.1).

We do not give here a more detailed exposition of the end of [1], because in Section 2 we give a more general and simple proof suitable for the case when E is an abelian variety.

2. Proof of the unconditional theorem. Pseudo-Euler elements

(2.1). As was indicated above, we cannot directly imitate Kolyvagin’s proof in the present case, because:

(a) The proof of (1.20) uses a fact that the characteristic polynomial of Frobenius on a modular curve $X_0(N)$ is

$$\text{fr}^2 - T_p \text{fr} + p$$

which does not hold on general X .

(b) $\dim H^{2d-1}(E) = 2\mathfrak{d}$ where $\mathfrak{d} > 1$, so arguments related with orthogonality of $s_{(2)}(\mathfrak{g}_s)$ and $(y_1)_{(2)}(\mathfrak{g}_{y_1})$ (see (1.38)) must be changed. (The reader might think that we need \mathfrak{d} independent Heegner elements in order to use arguments of orthogonality; really we need only one).

Now we formulate a theorem that formalizes the situation. Let E be an abelian variety over \mathbb{Q} of dimension \mathfrak{d} . Let $l, M = l^n, K, \mathcal{V} = K(E_M)$ be as earlier ($l \neq 2$). We assume that E satisfies (1.25). We denote $\mathcal{V}_{2n} = K(E_{M^2})$. We fix a symplectic basis \mathfrak{B} of E_{M^2} over \mathbb{Z}/M^2 , i.e.

the matrix of the Weil pairing in this basis is the symplectic matrix $J_{2\mathfrak{d}} = \begin{pmatrix} 0 & E_{\mathfrak{d}} \\ -E_{\mathfrak{d}} & 0 \end{pmatrix}$. Let p be

a prime satisfying the following condition

(2.2). The matrix of the action of $\text{fr}_p(\mathcal{V}_{2n}/\mathbb{Q})$ on E_{M^2} in the basis \mathfrak{B} is

$$\text{diag} \left(\underbrace{1 + aM, \dots, 1 + aM}_{\mathfrak{d} \text{ times}}, \underbrace{-1 + bM, \dots, -1 + bM}_{\mathfrak{d} \text{ times}} \right)$$

where $a, b \in \mathbb{Z}/M$ are some numbers satisfying $a \not\equiv 0, b \not\equiv 0, a \not\equiv b \pmod{l}$.

Further, let $y_1 \in E(K), y_p \in E(K^p)$ be any elements satisfying:

(2.3) $\text{Tr}_{K/\mathbb{Q}}(y_1) = 0$;

(2.4) y_1 is not a torsion point, and, moreover, y_1 is not a multiple of any other element of $E(K)$;

(2.5) $\text{Tr}_{K^p/K}(y_p) = \kappa_p y_1$ in $E(K)$, κ_p is an integer coefficient.

Now let us consider $W(y_1)$ as in (1.26), and we impose the following condition on p (a stronger version of (1.29a):

$$\text{fr}_p(W(y_1)/\mathbb{Q}) = \sigma \mathfrak{g} \quad (2.6)$$

where \mathfrak{g} is an element of $\text{Gal}(W(y_1)/\mathcal{V})$ of order exactly M . Later in (2.14) we introduce a stronger version of this condition and prove (Lemma 2.17) that (2.2) and (2.6) are compatible.

So, we can imitate the Kolyvagin's construction of an element of Euler system as follows. We define $D_p \in E(K^p)$ like in (1.18).

Proposition 2.8. The image of D_p in $E(K^p)/ME(K^p)$ is G -stable.

P r o o f. We must prove that $g(D_p) - D_p \in ME(K^p)$. Since

$$g(D_p) - D_p = \text{Tr}_{K^p/K}(y_p) - (p+1)y_p = \kappa_p y_1 - (p+1)y_p \quad (2.9)$$

and (2.2) implies that $M|(p+1)$, it is sufficient to prove that $M|\kappa_p$. (2.2) implies $\tilde{E}(\mathbb{F}_{p^2})_{l^\infty} = \tilde{E}_M$ (the index l^∞ means the l^∞ -torsion subgroup or the projection of elements to this subgroup). Further, $\tilde{D}_p \in \tilde{E}(\mathbb{F}_{p^2})$. We consider the projection of the reduction of $g(D_p) - D_p$ to $\tilde{E}(\mathbb{F}_{p^2})_{l^\infty}$. From one side, it is 0, because \tilde{g} on $\tilde{E}(\mathbb{F}_{p^2})$ is trivial. From another side, (2.9) implies that it is equal to $\kappa_p(\tilde{y}_1)_{l^\infty}$. So, in order to prove the proposition, it is sufficient to prove that $(\tilde{y}_1)_{l^\infty}$ has the order exactly M .

Let $(\tilde{y}_1)_{(3)}$ mean the projection of $\tilde{y}_1 \in \tilde{E}(\mathbb{F}_{p^2})$ in $\tilde{E}(\mathbb{F}_{p^2})/M$. Condition $(\tilde{y}_1)_{l^\infty}$ has the order exactly M is equivalent to the condition that the order of $(\tilde{y}_1)_{(3)}$ in $\tilde{E}(\mathbb{F}_{p^2})/M$ is exactly M .

Now we introduce some notations for the lemma 2.10 below. Let \mathfrak{E} be any abelian variety of dimension \mathfrak{d} over a finite field \mathbb{F}_p such that the matrix of the action of fr on \mathfrak{E}_{M^2} is

$$\text{diag} \left(\underbrace{1 + \mathfrak{a}M, \dots, 1 + \mathfrak{a}M}_{\mathfrak{d} \text{ times}}, \underbrace{-1 + \mathfrak{b}M, \dots, -1 + \mathfrak{b}M}_{\mathfrak{d} \text{ times}} \right)$$

We denote $\mathfrak{E}(\mathbb{F}_{p^2})^- = \{x \in \mathfrak{E}(\mathbb{F}_{p^2}) | \text{fr}(x) = -x\}$. We define a map $\beta : \mathfrak{E}(\mathbb{F}_{p^2})^- \rightarrow \mathfrak{E}_M$ as follows: for $z \in \mathfrak{E}(\mathbb{F}_{p^2})^-$ let $z_{(4)} \in H^1(\mathbb{F}_{p^2}, \mathfrak{E}_M)$ be its image under the Kummer map. Since $\text{Gal}(\mathbb{F}_{p^2})$ acts trivially on \mathfrak{E}_M , $z_{(4)}(\text{fr}^2) \in \mathfrak{E}_M$ is defined. We let $\beta(z) = z_{(4)}(\text{fr}^2)$.

Lemma 2.10. In the above notations $\beta(z) = -2\mathfrak{b}z$. \square

So, it is sufficient to prove that $(\tilde{y}_1)_{(4)}(\text{fr}_{\mathbb{F}_{p^2}})$ is of order M . Using (1.31) it is sufficient to prove that $(y_1)_{(2)}((\sigma \mathfrak{g})^2)$ is of order exactly M . Since \mathfrak{g} is of order exactly M , we see that the left hand side of (1.31) is of order exactly M , hence $(\tilde{y}_1)_{(4)}(\text{fr}_{\mathbb{F}_{p^2}})$ as well. \square

Corollary 2.11. There exists the only element $B \in E(K^p)$ such that $MB = g(D_p) - D_p$. \square

Corollary 2.12. $\tilde{B} \in \tilde{E}_M$. \square

Now we can formulate the main theorem. Let $E, l, M, K, p, y_1, y_p, D, B, \tilde{B}$ be as above, y_1, y_p satisfy (2.3) - (2.5), $s \in \text{Sel}(E/\mathbb{Q})_M$ any element. Let $W = W(\langle s_{(1)}, (y_1)_{(1)} \rangle)$ be as in (1.31a).

Theorem 2.13. If for any $\mathfrak{g} \in \text{Gal}(W/\mathcal{V})$ there exists p satisfying (2.2) and the following conditions (2.14), (2.15):

(2.14) $\text{fr}_p(W(s, y_1)/\mathbb{Q}) = \sigma \mathfrak{g}$;

(2.15) There exists an element $U(p) \in \text{End}(\tilde{E})$ such that

(a) $\tilde{B} = U(p)(\tilde{y}_1)$;

(b) $U(p)|_{\tilde{E}_M}$ is an isomorphism of \tilde{E}_M ;

(c) $U(p)|_{\tilde{E}_M}$ is a diagonal operator (in the base \mathfrak{B} restricted on \tilde{E}_M).

Then $s = 0$.

Remark 2.16. Really, the theorem can be proved for the more realistic analogs of conditions (2.3) – (2.5) and (2.15c). Namely, $\text{Tr}_{K/\mathbb{Q}}(y_1)$ can be of torsion, y_1 can be a multiple of an element of $E(K)$ (in this case $\kappa_p \in \mathbb{Q}$), l can be 2, etc. These are obstacles of type 2a.

P r o o f. Some steps of the proof coincide with the corresponding steps of 1-dimensional case. We fix an element $\mathfrak{g} \in \text{Gal}(W/\mathcal{V})$ (later in (2.28) we specify \mathfrak{g}) and we consider p satisfying (2.2), (2.14), (2.15) for this \mathfrak{g} .

Lemma 2.17. Conditions (2.2), (2.14) are compatible.

P r o o f of 2.17. It is sufficient to prove that \mathcal{V}_{2n} and W are linearly disjoint over \mathcal{V} , because restrictions of (2.2), (2.14) on $\text{Gal}(\mathcal{V}/\mathbb{Q})$ coincide.

There exists a subgroup C_0 of $\text{Hom}(\text{Gal}(\mathcal{V}), E_M)$ such that $\mathcal{V}_{2n} = W(C_0)$. Really, for each $x \in E_M$ let $\phi_x \in \text{Hom}(\text{Gal}(\mathcal{V}), E_M)$ be defined by the following cocycle formula: $\phi_x(\delta) = \delta(\frac{1}{M}x) - \frac{1}{M}x$, where $\delta \in \text{Gal}(\mathcal{V})$ and $\frac{1}{M}x$ is fixed. The map $x \mapsto \phi_x$ is a $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -homomorphism ϕ from E_M to $\text{Hom}(\text{Gal}(\mathcal{V}_{2n}/\mathcal{V}), E_M)$. It is clear that $C_0 = \phi(E_M)$.

It is sufficient to show that $\langle s_{(1)}, (y_1)_{(1)} \rangle \cap C_0 = 0$ in $\text{Hom}(\text{Gal}(\mathcal{V})^{(M)}, E_M)$. If there exists $x \in E_M$ such that $\phi_x \in \langle s_{(1)}, (y_1)_{(1)} \rangle$ then we can assume that $lx = 0$. $\text{Hom}(\text{Gal}(\mathcal{V})^{(M)}, E_M)$ is a $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -module. Since both $s_{(1)}, (y_1)_{(1)}$ are $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -stable, the dimension of the linear envelope of $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)(\phi_x)$ is ≤ 2 . Since ϕ is a $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -homomorphism, the same dimension is $2\mathfrak{d}$ - a contradiction. \square

Let $c(p)$ be as in Section 1 (see lines between (1.18) and (1.19)). Formula (1.19) holds in the present case. Since (1.22), (1.23) also hold, we get

$$[\text{loc}_p(s)(\text{fr}_{K_p}), \tilde{B}] = 1$$

(2.15a) implies

$$[\text{loc}_p(s)(\text{fr}^2), U(p)(\tilde{y}_1)] = 1 \quad (2.20)$$

(2.15b, c) and (2.20) imply

$$[\text{loc}_p(s)(\text{fr}^2), (\tilde{y}_1)_{l^\infty}] = 1 \quad (2.21)$$

Applying lemma 2.10 to $(\tilde{y}_1)_{l^\infty}$ we get

$$[\text{loc}_p(s)(\text{fr}^2), \text{loc}_p(y_1)(\text{fr}^2)] = 1 \quad (2.22)$$

– the analog of (1.24) for the present case.

Remark. Since the main property of Euler systems (1.21) is not satisfied in the present case, we call elements $c(p)$ elements of pseudo-Euler system.

In the end of the proof s and y_1 enter symmetrically in all formulas, so we change notations (as in [1]) and denote $s = t_1$, $y_1 = t_2$; the index i will be 1 and 2. Both t_i satisfy (1.28) with $\varepsilon_1 = 1$, $\varepsilon_2 = -1$. Let $W(t_i)$, \mathfrak{g}_{t_i} be as in (1.26), (1.31a) respectively. (2.22) implies

$$[(t_1)_{(2)}((\sigma\mathfrak{g}_{t_1})^2), (t_2)_{(2)}((\sigma\mathfrak{g}_{t_2})^2)] = 0 \quad (2.23)$$

(like (1.24) implies (1.32)).

Lemma 2.24. $\exists k_i$ such that $\text{im}(t_i)_{(2)} = l^{k_i} E_M$.

P r o o f. Since $(t_i)_{(2)} : \text{Gal}(W(t_i)/\mathcal{V}) \rightarrow E_M$ are $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -homomorphisms, $\text{im}(t_i)_{(2)}$ are $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -stable subgroups of E_M . But $l^k E_M$ are the only $GS_{p_{2\mathfrak{d}}}(\mathbb{Z}/M)$ -stable subgroups in E_M . \square

Lemma 2.25. $k_2 = 0$.

P r o o f. $(y_1)_{(2)} = (t_2)_{(2)}$ is of order M/l^{k_2} . Since the composite map $\mathbb{Z} \cdot t_2/M \hookrightarrow E(K)/M \rightarrow \text{Hom}(\text{Gal}(W(t_2)/\mathcal{V}), E_M)$ is well-defined and is an inclusion, we get that the image of y_1 in $E(K)/M$ is also of order M/l^{k_2} . (2.4) implies $k_2 = 0$. \square

Lemma 2.26. $W(t_1)/\mathcal{V}, W(t_2)/\mathcal{V}$ are linearly disjoint extensions.

P r o o f. If not then $W(t_1) \cap W(t_2) \neq \mathcal{V}$. Let h be a non-trivial element of $\text{Gal}(W(t_1) \cap W(t_2)/\mathcal{V})$. We have $\sigma(h) = h = -h$: a contradiction, because $l \neq 2$. \square

Let us denote elements of \mathfrak{B} restricted to E_M by $e_1, \dots, e_{2\mathfrak{d}}$. Since the matrix of the Weil pairing on $e_1, \dots, e_{2\mathfrak{d}}$ is $J_{2\mathfrak{d}}$, we have $[e_1, e_{\mathfrak{d}+1}] = \zeta_M$ a primitive M -th root of 1.

Corollary 2.27. $\exists h \in \text{Gal}(W/\mathcal{V})$ such that $t_1(h) = l^{k_1} e_1, t_2(h) = e_{\mathfrak{d}+1}$. \square

2.28. End of the proof of 2.13. We take \mathfrak{g} of the statement of 2.13 equal exactly to this h . This \mathfrak{g} satisfy (1.36) for both t_i . Taking into consideration (1.35) and formulas $\sigma(e_1) = e_1, \sigma(e_{\mathfrak{d}+1}) = -e_{\mathfrak{d}+1}$, (2.23) becomes $[l^{k_1} e_1, e_{\mathfrak{d}+1}] = 1$ and hence $k_1 = 0$, i.e. $(t_1)_{(2)} = 0$. Since $E(K)/M \rightarrow \text{Hom}(\text{Gal}(W(t_2)/\mathcal{V}), E_M)$ is an inclusion, we get $s = t_1 = 0$. \square

The end of the present paper is devoted to an attempt of a construction of $U(p)$.

3. A universal construction of cycles on Shimura varieties which are homologically equivalent to 0

Let k be a number field, X a Shimura variety over k , $CH^d(X \otimes k)$ the group of codimension d cycles on X modulo rational equivalence defined over k and $CH^d(X \otimes k)_0$ its subgroup of cycles homologically equivalent to 0. Let E be an irreducible quotient motive of $H_{\text{et}}^{2d-1}(X \otimes \overline{\mathbb{Q}}, \mathbb{Z}_l(d))$. For any cycle $\mathfrak{Y}_0 \in CH^d(X \otimes k)_0$ the Abel-Jacobi image $\text{cl}'_E(\mathfrak{Y}_0)$ of \mathfrak{Y}_0 in E is defined.

Let now $\mathfrak{Y} \in CH^d(X \otimes k)$ be a cycle. We can associate to \mathfrak{Y} its Abel-Jacobi image in E canonically up to a multiplier using the following construction.

We denote $r = \text{rank}(CH^d(X \otimes k)/CH^d(X \otimes k)_0)$. Let m be a fixed (sufficiently large) prime, T_m the simplest m -Hecke operator on X (see (4.0) below), a_m the eigenvalue of T_m on E and $Q_m(Z) = \sum_{j=0}^r b_{m,j} Z^j$ the characteristic polynomial of the action of T_m on $CH^d(X \otimes k)/CH^d(X \otimes k)_0$, where Z is an independent variable. We denote

$$\phi_m(\mathfrak{Y}) \stackrel{\text{def}}{=} \sum_{j=0}^r b_{m,j} T_m^j(\mathfrak{Y})$$

Then $\phi_m(\mathfrak{Y}) \in CH^d(X \otimes k)_0$, and its Abel-Jacobi image in E is defined.

Proposition 3.1. For different m $\text{cl}'_E(\phi_m(\mathfrak{Y}))$ are proportional.

P r o o f. We calculate the double sum in 2 different orders:

$$\begin{aligned} \text{cl}'_E \left(\sum_{j_1, j_2=0}^r b_{m_1, j_1} b_{m_2, j_2} T_{m_1}^{j_1} T_{m_2}^{j_2}(\mathfrak{Y}) \right) &= \left(\sum_{j=0}^r b_{m_1, j} a_{m_1}^j \right) \text{cl}'_E(\phi_{m_2}(\mathfrak{Y})); \\ \text{cl}'_E \left(\sum_{j_1, j_2=0}^r b_{m_1, j_1} b_{m_2, j_2} T_{m_1}^{j_1} T_{m_2}^{j_2}(\mathfrak{Y}) \right) &= \left(\sum_{j=0}^r b_{m_2, j} a_{m_2}^j \right) \text{cl}'_E(\phi_{m_1}(\mathfrak{Y})) \quad \square \end{aligned}$$

Remark 3.2. The similar construction was used in [11], case of X is a quaternion Shimura curve, $z \in X$ a Heegner point. For this case we have $r = 1$, $Q_m(Z) = Z - (m + 1)$ and $\phi_m(z)$ is the image of $T_m(z) - (m + 1)z$ in an irreducible quotient of $\text{Alb}(X)$. This example shows that the construction above is reasonable. Moreover, since orders of growth of a_m and $m + 1$ are different we see that $a_m - (m + 1) \rightarrow \infty$ as $m \rightarrow \infty$. Conjecturally, this is true for all cases:

(3.3) The order of growth of $b_{m,j}$ and a_m is such that $\sum_{j=0}^r b_{m,j} a_m^j$ tends to infinity.

If so then we have the following elementary

Lemma 3.4. Let $\mathfrak{Y}_0 = \sum_{i \in I} c_i \mathfrak{Y}_i \in CH^d(X)_0$ be a linear combination of codimension d Shimura subvarieties of X such that $\text{cl}'_E(\mathfrak{Y}_0) \neq 0$. Then $\exists i \in I$ such that $\text{cl}'_E(\phi_m(\mathfrak{Y}_i)) \neq 0$.

P r o o f. $\sum_{i \in I} c_i \text{cl}'_E(\phi_m(\mathfrak{Y}_i)) = (\sum_{j=0}^r b_{m,j} a_m^j) \text{cl}'_E(\mathfrak{Y}_0)$. \square

This means that the ϕ_m -construction of cycles that are homologically equivalent to 0 is not worse than any other one.

We fix m and we apply this construction to the case $\mathfrak{V} = V_*$ where V_* are from (1.6), $*$ is some index. We denote the elements $\text{cl}'_E(\phi_m(V_*))$ by y_* , and we call them the Abel-Jacobi images of V_* . The same construction will be applied for the reduced objects \tilde{X}, \tilde{E} (reduction at p).

4. Counterexample: case of Hecke correspondence T_p

4.0. Definitions.

The algebra of p -Hecke correspondences on a Siegel variety X of any genus g is the ring of polynomials with g generators denoted by $T_p, T_{p,1}, \dots, T_{p,g-1}$. They are double cosets corresponding to the diagonal matrices

$$\text{diag} \left(\underbrace{1, \dots, 1}_g, \underbrace{p, \dots, p}_g \right)$$

for T_p and

$$\text{diag} \left(\underbrace{1, \dots, 1}_{g-i}, \underbrace{p, \dots, p}_i, \underbrace{p^2, \dots, p^2}_{g-i}, \underbrace{p, \dots, p}_i \right)$$

for $T_{p,i}$.

I shall be interested mainly by the case $g = 3$, correspondences $T_p, T_{p,1}$. The corresponding matrices are $\text{diag} (1, 1, 1, p, p, p)$, $\text{diag} (1, 1, p, p^2, p^2, p)$ respectively.

Remark. For $g = 3$ and for the Hecke correspondence $T_{p,2}$ the set L_{good} is empty ([4]), so we cannot get pseudo-Euler systems using methods of the present paper.

Let $t \in X$ and A_t the corresponding abelian g -fold. The set $T_p(t)$ is in $1 - 1$ correspondence with the set of maximal isotropic subspaces $W \subset (A_t)_p = (\mathbb{F}_p)^{2g}$, and the set $T_{p,i}(t)$ is in $1 - 1$ correspondence with the set of isotropic subspaces $W \subset (\mathbb{Z}/p^2)^{2g}$ such that W is isomorphic to $(\mathbb{Z}/p^2)^{g-i} \oplus \mathbb{F}_p^{2i}$. We refer to these subgroups as W of type $T_p, T_{p,i}$, and we denote the set of such W by $S_g, S_{g,i}$ respectively. Finally, we denote $b(n) = \frac{n(n+1)}{2}$, $G(j, g)(\mathbb{F}_p)$ the Grassmann variety of j -spaces in the g -space over \mathbb{F}_p , dimensions are affine, and $G(j, k, g)(\mathbb{Z}/p^2)$ a generalized Grassmann variety of submodules of $(\mathbb{Z}/p^2)^g$ which are isomorphic to $(\mathbb{Z}/p)^{k-j} \oplus (\mathbb{Z}/p^2)^j$ as abstract modules.

Recall that we consider mainly the case $g = 3$, X is a Siegel sixfold and $V \subset X$ a Picard modular surface. Some results of Section 3 hold for a more general case g is any number, $V \subset X$ is a subvariety of dimension $g - 1$ whose points parametrize abelian g -folds having multiplication by the ring of integers of an imaginary quadratic field K .

4.1. Case of ordinary points.

4.1.1. Case of one point. There are correspondences Φ_i on \tilde{X} (see (4.1.3) for a definition) such that

$$\tilde{T}_p = \sum_{j=0}^g \Phi_j \tag{4.1.2}$$

Φ_0 is the Verschubung correspondence and Φ_g is the Frobenius map. Let us fix notations related to the definition of Φ_j . Let $t \in X(\mathbb{Q})$ be an element such that \tilde{A}_t is ordinary. Then there exists a fixed isotropic g -dimensional subspace $D_g \subset (A_t)_p$ enjoying the following property: $\tilde{\alpha}_t : \tilde{A}_t \rightarrow \tilde{A}'_t$ is the Frobenius map of \tilde{A}_t iff $\text{Ker } \alpha_t = D_g$. Let t' be an element of $T_p(t)$ and $W \subset (A_t)_p$ the corresponding isotropic subspace. We have:

$$\tilde{t}' \in \Phi_j(\tilde{t}) \iff \dim_{\mathbb{F}_p} W \cap D_g = j \quad (j = 0, \dots, g) \tag{4.1.3}$$

Moreover, for $t'_1, t'_2 \in T_p(t)$ and corresponding subspaces W_1, W_2 we have:

$$\tilde{t}'_1 = \tilde{t}'_2 \iff W_1 \cap D_g = W_2 \cap D_g \quad (4.1.4)$$

Projection. We denote by $S_g(j)$ the set of W such that $\dim_{\mathbb{F}_p} W \cap D_g = j$. Sets $S_g(j)$ form a partition of S_g . There is the natural projection $\pi_j : S_g(j) \rightarrow G(j, g)$ ($\pi_j(W) = W \cap D_g$). The quantity of points in the fiber of π_j is

$$p^{b(g-j)} \quad (4.1.5)$$

4.1.6. Case of subvarieties. Let \mathfrak{V} be a subvariety of X such that for a generic point $t \in \mathfrak{V}(K)$ the reduction at p of the corresponding abelian variety A_t is ordinary. There are schemes $\Phi_j(\tilde{\mathfrak{V}})$; we denote their closed subschemes by $\overline{\Phi_j(\tilde{\mathfrak{V}})}$. The Abel-Jacobi images of $\mathfrak{V}, \tilde{\mathfrak{V}}, \overline{\Phi_j(\tilde{\mathfrak{V}})}$ will be denoted by $\eta, \tilde{\eta}, \eta_j$ respectively (recall that we fix m and we use ϕ_m -construction of Section 3). Clearly reduction commutes with Abel-Jacobi map. Φ_j act on \tilde{E} . (4.1.5) implies

$$\Phi_j(\tilde{\eta}) = p^{b(g-j)} \eta_j \quad (4.1.7)$$

and hence

$$T_p(\tilde{\eta}) = a_p \tilde{\eta} = \sum_{j=0}^g p^{b(g-j)} \eta_j \quad (4.1.8)$$

where a_p is the eigenvalue of T_p on E .

Remark. Considering only the Abel-Jacobi image we lose many information on schemes $\widetilde{\mathfrak{X}_p(V)}$ and their irreducible components; we take into consideration only the closed support and the depth of these schemes.

4.2. Case of non-ordinary points.

We return to our $V \subset X$. The Abel-Jacobi image of V is denoted by y_1 . For an odd g a generic point $t \in V$ has the property: the reduction of the abelian g -fold A_t has the degree of supersingularity 1, i.e. $\# \text{Supp}(\tilde{A}_t)_p = p^{g-1}$. Particularly, for $g = 3$ the p -rank of A_t is 2.

Recall that all considerations below are conjectural. They are only a first approach to the subject. The rigorous description claims use of another technique.

$\overline{\Phi_j(\tilde{V})}$ are reducible: there are subvarieties $\Psi_j(V) \subset \tilde{X}$ ($j = 0, \dots, g-1$) such that

$$\overline{\Phi_j(\tilde{V})} = \Psi_{j-1}(V) \cup \Psi_j(V) \quad (4.2.1)$$

$j = 0, \dots, g, \Psi_{-1} = \Psi_g = \emptyset$.

We denote the Abel-Jacobi image of $\Psi_j(V)$ by z_j . (4.2.1) implies

$$\Phi_j(\tilde{y}_1) = p^{b(g-j)+g-j} z_{j-1} + p^{b(g-j)} z_j \quad (4.2.2)$$

($j = 0, \dots, g, z_{-1}, z_g = 0$).

Clearly that a correct method to find coefficients $p^{b(g-j)+g-j}, p^{b(g-j)}$ of (4.2.2) is to calculate dimensions of the corresponding schemes. I did not do it, and the evidence that these coefficients are correct, comes from (4.2.7) below.

The geometric description of the partition (4.2.1) is the following. We denote by D_{g-1}^\perp a $g+1$ -dimensional subspace of $(A_t)_p$ which is the kernel of the reduction map $(A_t)_p \rightarrow \widetilde{(A_t)_p}$, and by D_{g-1} its dual space. We have $D_{g-1} \subset D_{g-1}^\perp$.

Remark. $(A_t)_p$ is an \mathbb{F}_{p^2} -space, because A_t has multiplication by K (recall that p is inert in K). It is clear that D_{g-1}, D_{g-1}^\perp are also \mathbb{F}_{p^2} -spaces.

I think that the following analogs of (4.1.3), (4.1.4) hold ($t', t'_1, t'_2, W, W_1, W_2$ are the same, the meaning of $\Psi_j(t)$ is clear; $\Psi_j(V) = \cup_{t \in V} \Psi_j(t)$):

$$\tilde{t}' \in \Psi_j(t) \iff \dim_{\mathbb{F}_p} W \cap D_{g-1} = j \quad (j = 0, \dots, g-1) \quad (4.2.3)$$

$$\tilde{t}'_1 = \tilde{t}'_2 \iff W_1 \cap D_{g-1} = W_2 \cap D_{g-1} \quad (4.2.4)$$

Particularly, both $\Psi_0(t)$, $\Psi_{g-1}(t)$ consist of one point.

4.2.5. Partition (*). Since sets $\Psi_j(t)$ and formula (4.2.3) are conjectural, we change notations and *define* $S_g^*(j)$ as the set of W such that $\dim_{\mathbb{F}_p} W \cap D_{g-1} = j$, so conjecturally $\Psi_j(t) = S_g^*(j)$. Sets $S_g^*(j)$ form a partition of S_g . There is the natural projection $\pi_j^* : S_g^*(j) \rightarrow G(j, g-1)$ ($\pi_j^*(W) = W \cap D_{g-1}$). The quantity of points in the fiber of π_j^* is

$$p^{b(g-j)} + p^{b(g-j)-1} \quad (4.2.6)$$

This gives us a formula

$$\text{cl}'_{\bar{E}}(\phi_m(\widetilde{T_p(V)})) = a_p \tilde{y}_1 = T_p(\tilde{y}_1) = \sum_{j=0}^g (p^{b(g-j)} + p^{b(g-j)-1}) z_j \quad (4.2.7)$$

Together with (4.1.7), (4.1.8) this gives us coefficients of (4.2.2).

4.3. Application to irreducible components of $T_p(V)$.

Firstly we develop some “general theory”. We can unify irreducible good (resp. bad) components $V_{p,i}$ (resp. $V_{p,j}$) from (1.6) if they have equal multiplicities $\alpha_{p,i}$ (resp. $\alpha_{p,j}$). For example, if $\alpha_{p,i_1} = \alpha_{p,i_2} = \dots = \alpha_{p,i_k}$ then we can set $V_{p,\bar{i}} = V_{p,i_1} \cup V_{p,i_2} \cup \dots \cup V_{p,i_k}$, and analogously for $V_{p,j}$.

So, we get sets \bar{L}_{good} , \bar{L}_{bad} which are quotient sets of L_{good} , L_{bad} respectively, and (1.6) becomes

$$\mathfrak{X}_p(V) = \left(\bigcup_{\bar{i} \in \bar{L}_{good}} \alpha_{p,\bar{i}} \left(\bigcup_{\beta=0}^p g^\beta(V_{p,\bar{i}}) \right) \right) \cup \left(\bigcup_{\bar{j} \in \bar{L}_{bad}} \alpha_{p,\bar{j}}(V_{p,\bar{j}}) \right) \cup \alpha V \quad (4.3.0)$$

where $V_{p,\bar{i}}$, $V_{p,\bar{j}}$ are not necessarily irreducible, but $\alpha_{p,\bar{i}}$ and $\alpha_{p,\bar{j}}$ are different.

Remark. It is possible a more “strong” unification (practically, we can unify all good (resp. bad) components of $\mathfrak{X}_p(V)$). In this case the formula (4.3.0) will be weakened. See Remark 5.6 for a possible application.

Further, we can consider the double union in (4.3.0) as a simple union:

$$\mathfrak{X}_p(V) = \bigcup_{l \in L} \alpha_l V_l \quad (4.3.1)$$

where $L = \bar{L}_{good} \times \text{Gal}(K^p/K) \cup \bar{L}_{bad} \cup \{ \text{the only element corresponding to } V \text{ in (4.3.0)} \}$, V_l is one of the sets $g^\beta(V_{p,\bar{i}})$, or $V_{p,\bar{j}}$, or V itself. (4.3.1) comes from the corresponding decomposition of S_g :

$$S_g = \bigcup_{l \in L} S'_g(l) \quad (4.3.2)$$

namely: for $t \in V$ $W \in S'_g(l) \iff$ the corresponding point of $T_p(t) \in V_l$. The union is disjoint, i.e. sets $S'_g(l)$ form a partition of S_g .

We denote the Abel-Jacobi image of V_l by \mathfrak{z}_l . Since reduction commutes with Abel-Jacobi map, the Abel-Jacobi image of \tilde{V}_l is $\tilde{\mathfrak{z}}_l$.

Problem. What is a formula for $\tilde{\mathfrak{z}}_l$?

Conjecture 4.3.3. In some cases there exist coefficients $c_{jl} \in \mathbb{Q}$ such that

$$\tilde{\mathfrak{z}}_l = \sum_{j=0}^g c_{jl} \Phi_j(\tilde{y}_1); \quad (4.3.4)$$

In order to find c_{jl} we must intersect partitions (') and (*) defined in (4.2.5) and (4.3.2) respectively.

We fix $l \in L$, and for any $j = 0, \dots, g-1$ we consider the set $S_g^*(j) \cap S'_g(l)$ and the restriction of $\pi_j^* : S_g^*(j) \rightarrow G(j, g-1)$ on it. We denote this restriction by $\pi_{j,l}^* : S_g^*(j) \cap S'_g(l) \rightarrow G(j, g-1)$. Let us restrict ourselves by the case when the following condition holds:

Condition 4.3.5. For any $t \in G(j, g-1)$ the quantity of points in the fiber $(\pi_{j,l}^*)^{-1}(t)$ is the same (does not depend on t).

We denote this quantity by n_{jl} .

Conjecture 4.3.6. We have a formula

$$\tilde{\mathfrak{z}}_l = \sum_{j=0}^{g-1} \frac{n_{jl}}{\alpha_l} z_j \quad (4.3.7)$$

Using (4.2.2) we get easily c_{jl} .

Now let us apply the above theory to our case $g = 3$. We have ([4]) L_{good} consists of 2 elements i_k , ($k = 1, 2$), $\alpha_{p,i_k} = 1$, L_{bad} consists of 1 element* j_1 , $\alpha_{p,j_1} = p+1$, and $\alpha = 0$. So, \bar{L}_{good} consists of 1 element \bar{i}_1 , and $\bar{L}_{bad} = L_{bad}$. Identifying $\text{Gal}(K^p/K)$ with $\{0, \dots, p\}$ we get

$$L = \{0, \dots, p\} \cup \{j_1\}$$

For $0 \in L$ we denote $V_0 = V_{p,\bar{i}_1}$ simply by $V_p = V_{p,good}$ and its Abel-Jacobi image \mathfrak{z}_0 by $y_p = y_{p,good}$; analogously \mathfrak{z}_{j_1} is denoted by $y_{p,bad}$.

[4] contains the description of $S'_g(l)$ used in the proof of the following proposition.

Proposition 4.3.8. Condition (4.3.5) holds for this case, and numbers n_{jl} are given in the following table:

| | | |
|---------|---------------------------------|-------------|
| | $n_{jl}, l \in \{0, \dots, p\}$ | n_{j,j_1} |
| $j = 0$ | $p^5 - p^3$ | $p^4 + p^3$ |
| $j = 1$ | p^2 | 0 |
| $j = 2$ | 0 | $p + 1$ |

Corollary 4.3.9. According (4.3.7) we get formulas:

$$\tilde{y}_p = (p^5 - p^3)z_0 + p^2z_1 \quad (4.3.10)$$

$$\tilde{y}_{p,bad} = p^3z_0 + z_2 \quad (4.3.11)$$

P r o o f of 4.3.8. Recall ([4]) that the partition (4.3.2) for $g = 3$ is the following: for $j_1 \in L$

$$W \in S'_3(j_1) \iff \dim_{\mathbb{F}_{p^2}} \mathbb{F}_{p^2}W = 2$$

and

$$W \in \bigcup_{i \in \{0, \dots, p\} \subset L} S'_3(i) \iff \dim_{\mathbb{F}_{p^2}} \mathbb{F}_{p^2}W = 3$$

Since $g = 3$, the space D_{g-1} of (4.2) is D_2 . We need a lemma:

Lemma 4.3.12. We have: $\text{Supp}(\tilde{V}_{bad}) = S_3^*(0)(V) \cup S_3^*(2)(V)$; $\text{Supp}(\tilde{V}_p) = S_3^*(0)(V) \cup S_3^*(1)(V)$.

P r o o f. If $W \supset D_2$ then $W \subset D_2^\perp$, so $\mathbb{F}_{p^2}W = D_2^\perp$, $\dim_{\mathbb{F}_{p^2}} \mathbb{F}_{p^2}W = 2$ and hence $W \in S'_3(j_1)$, i.e. W corresponds to V_{bad} . Inversely, let us consider W corresponding to V_{bad} . This means that

*We use here the gothic j in order to avoid confusion with the index of Φ_j .

$\dim_{\mathbb{F}_{p^2}} \mathbb{F}_{p^2} W = 2$. $D_2 \cap \mathbb{F}_{p^2} W$ is an \mathbb{F}_{p^2} -space, it can have dimension 0 or 1. If $\dim D_2 \cap \mathbb{F}_{p^2} W = 0$ then $D_2 \cap W = 0$ and $t \in S_3^*(0)$. If $\dim D_2 \cap \mathbb{F}_{p^2} W = 1$, i.e. $D_2 \subset \mathbb{F}_{p^2} W$, then it is easy to see that $D_2 \subset W$. Really, $\mathbb{F}_{p^2} W$ is an \mathbb{F}_{p^2} -space of dimension 2 which contains its orthogonal. Such spaces contain only one isotropic \mathbb{F}_{p^2} -space of dimension 1, namely their orthogonal. This means that $D_2 = (\mathbb{F}_{p^2} W)^\perp$, i.e. $\mathbb{F}_{p^2} W = D_2^\perp$, $W \subset D_2^\perp$ and hence $D_2 \subset W$. \square

Now we calculate the quantities of spaces W of each type. There are $p+1$ elements in $S_3^*(2)$ (all W such that $D_2 \subset W \subset D_2^\perp$, they form a $P^1(\mathbb{F}_p)$). There are $p^4 + 2p^3 + p^2$ elements in $S_3^*(1)$. Really, there are $p+1$ possible lines $W \cap D_2$. We fix such a line. There are $(p^2+1)(p+1)$ isotropic planes in $(W \cap D_2)^\perp / (W \cap D_2)$, each of them gives us a W . It is necessary to subtract $p+1$ planes that contain $D_2 / (W \cap D_2)$, we get $p^3 + p^2$ planes and multiply this number by $p+1$.

Since the total number of points in the bad part (i.e. in $S_3^*(j_1)$) is $p^4 + p^3 + p + 1$, the quantity of points in the bad part of $S_3^*(0)$ is $p^4 + p^3$ and in the good part of $S_3^*(0)$ is $p^6 + p^5 - p^4 - p^3$. \square

4.4. Finding of $U(p)$.

Now we apply Theorem 2.13 to this situation. (4.3.0) becomes

$$T_p(V) = \bigcup_{g \in \text{Gal}(K^p/K)} g(V_{p,\text{good}}) \bigcup (p+1)V_{p,\text{bad}}$$

Taking Abel-Jacobi image we get

$$a_p y_1 = \text{Tr}_{K^p/K}(y_p) + (p+1)y_{p,\text{bad}} \quad (4.4.1)$$

where a_p is the eigenvalue of T_p on E . We take $D_p = \sum_{i=0}^p i g^i(y_p)$, $B_p = \frac{g(D_p) - D_p}{M}$ from Section 2. Since

$$g(D_p) - D_p = (p+1)y_p - \text{Tr}_{K^p/K}(y_p) \quad (4.4.2)$$

we have

$$g(D_p) - D_p = (p+1)y_p - a_p y_1 + (p+1)y_{p,\text{bad}} \quad (4.4.3)$$

We shall see in (4.4.7) that (2.2) implies $M|a_p$, $M|(p+1)$, hence (according (2.5))

$$\tilde{B}_p = \frac{p+1}{M} \tilde{y}_p - \frac{a_p}{M} \tilde{y}_1 + \frac{p+1}{M} \tilde{y}_{p,\text{bad}} = \frac{p+1}{M} \tilde{y}_p - \frac{a_p}{M} \tilde{y}_1 + \frac{p+1}{M} \kappa_p \tilde{y}_1 \quad (4.4.4)$$

Formulas (4.2.2), (4.3.10), (4.3.11) permit us to represent \tilde{y}_p , $\tilde{y}_{p,\text{bad}}$ as linear combinations of $\Phi_i(\tilde{y}_1)$. We can easily find the action of Φ_i on \tilde{E} using formulas of [3].

Let us recall the notations (some letter are made gothic in order to avoid confusion with notations of the present paper). Let \mathfrak{T} be the subgroup of diagonal matrices in $\mathfrak{G} = GSp_{2g}$ and $\mathfrak{M} \subset \mathfrak{G}$ be the subgroup whose $g \times g$ -block structure is $\begin{pmatrix} A & 0 \\ 0 & (A^t)^{-1} \end{pmatrix}$, so $\mathfrak{T} \subset \mathfrak{M} \subset \mathfrak{G}$. There are Satake inclusions of Hecke algebras (see, for example, [13], Chapter 7 for general formulas or [3] for explicit formulas):

$$\mathbb{H}(\mathfrak{G}) \xrightarrow{S_{\mathfrak{G}}} \mathbb{H}(\mathfrak{M}) \xrightarrow{S_{\mathfrak{T}}} \mathbb{H}(\mathfrak{T}) \hookrightarrow \mathbb{Z}[U_i^{\pm 1}, V_i^{\pm 1}]$$

where U_i, V_i ($i = 1, \dots, g$) are independent variables. $\mathbb{H}(\mathfrak{G})(\mathbb{Z}_p)$ is the algebra of p -Hecke correspondences on X and $\mathbb{H}(\mathfrak{M})(\mathbb{Z}_p)$ is the algebra of p -Hecke correspondences on \tilde{X} . Particularly, $\Phi_i \in \mathbb{H}(\mathfrak{M})$.

Let \mathcal{M} be a "generic" irreducible submotive of X of middle weight (i.e. the weight of \mathcal{M} is $b(g) -$ the dimension of X) and \mathfrak{E} its field of coefficients. We can identify a basis over $\mathfrak{E} \times \mathbb{Q}_l$ of the cohomology space $H^{b(g)}(\mathcal{M})$ with the set of subsets of $1, \dots, g$. For $I \subset \{1, \dots, g\}$ we denote by f_I the corresponding element of this basis and we denote $U_I = \prod_{i \in I} U_i \prod_{i \notin I} V_i \in \mathbb{H}(\mathfrak{T})$. We have:

$$S_T(\Phi_i) = \sum_{\#(I)=i} U_I \quad (4.4.5)$$

and $S_T \circ S_g(T_p) = \sum_{i=0}^g \Phi_i = \sum_{I \subset \{1, \dots, g\}} U_I = \prod_{i=1}^g (U_i + V_i)$, where $T_p \in \mathbb{H}(\mathfrak{G})$.

The action of $\mathbb{H}(\mathfrak{M})$ on $H^{b(g)}(\mathcal{M})$ comes from the following action of $\mathbb{Z}[U_i^{\pm 1}, V_i^{\pm 1}]$ on $H^{b(g)}(\mathcal{M})$:

$$\begin{aligned} U_i(\mathfrak{f}_I) &= a_0^{1/g} \mathfrak{f}_I & \text{if } i \in I \\ U_i(\mathfrak{f}_I) &= a_0^{1/g} b_i \mathfrak{f}_I & \text{if } i \notin I \\ V_i(\mathfrak{f}_I) &= a_0^{1/g} b_i \mathfrak{f}_I & \text{if } i \in I \\ V_i(\mathfrak{f}_I) &= a_0^{1/g} \mathfrak{f}_I & \text{if } i \notin I \end{aligned} \quad (4.4.6)$$

where a_0, b_i ($i = 1, \dots, g$) are Weil numbers. They satisfy $a_0^2 \prod_{i=1}^g b_i = p^{b(g)}$.

We are interested in the case of a “generic” irreducible submotive \mathcal{M}^- of X of weight $b(g) - 1$. A basis of $H^{b(g)-1}(\mathcal{M}^-)$ can be identified with the set of \mathfrak{f}_I such that $I \subset \{2, \dots, g\}$, formulas of the action of $\mathbb{Z}[U_i^{\pm 1}, V_i^{\pm 1}]$ on $H^{b(g)-1}(\mathcal{M}^-)$ are as above, and $b_1 = p$ (see, for example, [3], Theorem 4.3).

For our case $g = 3$, a basis of $H^{b(g)-1}(\mathcal{M}^-)$ is $\mathfrak{f}_\emptyset, \mathfrak{f}_2, \mathfrak{f}_3, \mathfrak{f}_{23}$ where $\emptyset, 2, 3, 23$ are subsets of $(2, 3)$. These vectors are the eigenvectors of Frobenius with eigenvalues $a_0, a_0 b_2, a_0 b_3, a_0 b_2 b_3$ respectively. T_p acts by multiplication by $a_p = a_0(p+1)(b_2+1)(b_3+1)$. Comparing these eigenvalues with the ones from (2.2) we get

$$a_0 \equiv 1 + aM, \quad b_2 \equiv 1, \quad b_3 \equiv -1 + (a+b)M \pmod{M^2}, \quad b_1 = p \equiv -1 \pmod{M} \quad (4.4.7)$$

(really, we need these congruences only modulo M). This means that $a_p \equiv 0 \pmod{M^2}$, hence the term $\frac{a_p}{M} \tilde{y}_1$ of (4.4.4) is 0.

To find $\tilde{y}_p, \tilde{y}_{p,bad}$ we use (4.3.10), (4.3.11) and (4.2.2), (4.4.5) – (4.4.7). Unfortunately we get $\tilde{B}_p = 0$. Pseudo-Euler system does not exist in this case. Calculations are given in Appendix 1.

4.5. Case of even $g \geq 4$.

Let us consider the case when the inclusion $V \subset X$ corresponds to the inclusion of reductive groups $GU(1, g-1) \rightarrow GSp_{2g}$, $g \geq 4$ even. We have:

1. The cohomology group $H^i(X)$, $i = 2r - 1$, $r = \text{codim}_X(V) = g(g+1)/2 - (g-1)$, where the Abel-Jacobi image of V lives, is non-trivial ([14]).

2. We can expect that multiplicities of all bad components are multiples of $p+1$.

3. Analogs of formulas of Sections 4.1 – 4.4 can be easily found using results of [15].

So, if the phenomenon of Section 4.3 does not occur, then probably The Program can be realised for this case.

5. Correspondence $T_{p,1}$ – a possible example

This section is an analog of Subsection 4.4. For the case $\mathfrak{X}_p = T_{p,1} \quad L_{good}, L_{bad}, \alpha_{p,i}, \alpha_{p,j}$ and the partition

$$S_{3,1} = \bigcup_{l \in L} S'_{3,1}(l)$$

(analog of (4.3.2)) are not known completely. We have ([4]):

L_{good} is not empty, it consists of elements $i_1, \dots, i_{k_{g,p}}, k_{g,p}$ and the numbers $\alpha_{p,i_1}, \dots, \alpha_{p,i_{k_{g,p}}}$ are unknown (their finding is reduced to a large but easy calculation).

Conjecture 5.0. All α_{p,i_k} are equal.

This conjecture is suggested by [4], (5.3.1). We shall assume it; see Remark 5.6 if it is wrong. So, (5.0) implies that \bar{L}_{good} consists of one element. We denote it by \bar{i} and the common value of α_{p,i_k} by $\alpha_{p,\bar{i}}$.

L_{bad} consists of at least 2 elements $j_0, j_1, \dots, j_{k_{b,p}}$ ($k_{b,p}$ is unknown; conjecturally, for large p $k_{b,p} = 1$). $\alpha_{p,j_0} = 1$, other α_{p,j_i} are unknown. $\alpha = p^5 + p^2$ (maybe $p^4 + p$).

Sets $S'_{3,1}(l)$ are known for $l = j_0$, for $l = \{ \text{the only element corresponding to } V \text{ in (1.6)} \}$, and for $l \in \bar{L}_{good} \times \text{Gal}(K^p/K)$ sets $S'_{3,1}(l)$ are known up to a choice of one of two possibilities (see [4], Conjecture 4.2.20).

Let $y_p = y_{p,good} = \sum_{\gamma=0}^{k_{g,p}} y_{p,i_\gamma}$, $y_{p,bad} = \sum_{\gamma=0}^{k_{b,p}} \alpha_{p,j_\gamma} y_{p,j_\gamma}$. Assuming Conjecture 1.7 we can write $y_{p,bad} = \mathfrak{r}_p y_1$. Let D_p, B_p be as in (4.4). Analog of (4.4.1) for the present case is ($a_{p,1}$ is the eigenvalue of $T_{p,1}$ on E)

$$a_{p,1} y_1 = \alpha_{p,\bar{i}} \text{Tr}_{K^p/K}(y_p) + \mathfrak{r}_p y_1 + (p^5 + p^2) y_1 \quad (5.1)$$

i.e.

$$\alpha_{p,\bar{i}} \text{Tr}_{K^p/K}(y_p) = \kappa'_p y_1 \quad (5.2)$$

where $\kappa'_p = a_{p,1} - \mathfrak{r}_p - (p^5 + p^2)$.

We can expect that $\alpha_{p,\bar{i}} = \mathfrak{R}(p)$ where $\mathfrak{R}(X)$ is an unknown polynomial. (2.2) implies $p \equiv -1 \pmod{M}$; using this congruence we can find a number η (depending only on $\mathfrak{R}(X)$) such that $\alpha_{p,\bar{i}} = M^\eta \cdot \gamma$, $(\gamma, M) = 1$. The same arguments as in the proof of (2.8) show us that $M | \kappa'_p$, so if $\eta > 0$ then we can divide (5.2) by M and repeat the process η times getting

$$\gamma \text{Tr}_{K^p/K}(y_p) = \kappa_p y_1 \quad (5.3)$$

where $\kappa_p = \kappa'_p / M^\eta$. Since $(\gamma, M) = 1$, this is practically (2.5).

We can conjecture that in this situation we can use Theorem 2.13: there is no “trivial” obstacles like in the case of T_p , because at least one of α_{p,j_\star} — namely, $\alpha_{p,j_0} = 1$ — is coprime to M . Formula (2.2) gives us the residue of $a_{p,1} \pmod{M^2}$ (this can be done easily using formulas of [3]; particularly, $a_{p,1} \equiv 1 \pmod{M}$). So we get that $\mathfrak{r}_p \equiv 1 \pmod{M}$.

Remark. There is an independent method to find $\mathfrak{r}_p \pmod{M}$ using (6.2.4), (6.3.3), (6.3.5) for $i = 1$, $l = j_0, \dots, j_{k_{b,p}}$. Comparing this method with the result $\mathfrak{r}_p \equiv 1 \pmod{M}$ we can check formulas of Section 6.

The analog of (4.4.4) is

$$\tilde{B}_p = \frac{p+1}{M} \tilde{y}_p - \frac{\kappa_p}{\gamma M} \tilde{y}_1 \quad (5.4)$$

In order to find \tilde{y}_p we need to find coefficients $c_{jk \ l_0}$ in representation $\tilde{y}_p = \sum_{j,k} c_{jk \ l_0} \Phi_j \Phi_k(\tilde{y}_1)$ (formula 6.3.3 for good components l_0). This is a problem of type 2b for $g = 3$ and of types 2c, 2d for $g > 3$. Section 6 contains ideas of solution of this problem.

5.5. Now we can summarize our results. Let us assume that in future we shall be able

(a) To find for any p the number \mathfrak{r}_p modulo $M^{\eta+2}$ — a problem of type 2c.

In this case (5.4) and other formulas of this section imply the existence of $U(p)$ satisfying 2.15a,c (i.e. $\tilde{B}_p = U(p)(\tilde{y}_1)$). So, we must only

(b) To prove that for any \mathfrak{g} of Theorem 2.13 there exists p such that $U(p)$ satisfies 2.15b, i.e. $\mathfrak{r}_p / M^{\eta+1}$ does not satisfy a certain congruence modulo l . After finding of $\mathfrak{R}(p)$ and $c_{jk \ l_0}$ this congruence can be easily written down explicitly.

Remark 5.6. If numbers α_{p,i_k} are different then we can take the maximal value of η such that M^η divides all α_{p,i_k} , and define $y_{p,good}$ as $\sum_{\gamma=1}^{k_{g,p}} \frac{\alpha_{p,i_\gamma}}{M^\eta} y_{p,i_\gamma}$. In this case also there is no trivial reasons for $U(p)$ to be a non-isomorphism on \tilde{E}_M .

6. Idea of finding of \tilde{y}_p

Remark 6.0. We consider here the case of the Hecke correspondence $\mathfrak{T}_p = T_{p,i}$. The level of naïvité of all considerations of this section is higher than the one of section 4. Moreover, (6.2.9) shows that some affirmations are definitely false. I do not know how to correct them, and I shall be grateful to anybody who will help me.

6.1. Case of ordinary points.

Case of one point.

Analog of (4.1.2) for $T_{p,i}$ ($i > 0$) is the following:

$$\tilde{T}_{p,i} = \sum_{j \geq 0, k-j \geq i}^g R_{k-j}(i) \cdot p^{-b(k-j)} \Phi_j \Phi_k \quad (6.1.1)$$

where $R_g(i) = R_g(i, p)$ is the quantity of symmetric $g \times g$ -matrices with entries in \mathbb{F}_p of corank exactly i .

Particularly, for $g = 3$

$$\tilde{T}_{p,1} = \frac{1}{p}(\Phi_0 \Phi_1 + \Phi_1 \Phi_2 + \Phi_2 \Phi_3) + \frac{p^2 - 1}{p^3}(\Phi_0 \Phi_2 + \Phi_1 \Phi_3) + \frac{p^3 - 1}{p^4} \Phi_0 \Phi_3 \quad (6.1.2)$$

Question 6.1.3. What is an analog of (4.1.3) for the present case?

Attempt of answer. Like above let t' be an element of $T_{p,i}(t)$, $W \subset (A_t)_{p^2}$ the corresponding isotropic subspace (see (4.0), $D_g \subset (A_t)_{p^2}$ the kernel of Frobenius, D_g is isomorphic to $(\mathbb{Z}/p^2)^g$ as an abstract module. Roughly speaking,

$$W \text{ corresponds to } \Phi_j \Phi_k \iff W \cap D_g = (\mathbb{Z}/p)^{k-j} \oplus (\mathbb{Z}/p^2)^j \quad (6.1.4)$$

equality of abstract modules. (The author has a more detailed description of this situation).

Projection. We denote by $S_{g,i}(j, k)$ the set of W of type $T_{p,i}$ such that $W \cap D_g = (\mathbb{Z}/p)^{k-j} \oplus (\mathbb{Z}/p^2)^j$ as abstract modules. Sets $S_{g,i}(j, k)$ form a partition of $S_{g,i}$. There is the natural projection $\pi_{i;j,k} : S_{g,i}(j, k) \rightarrow G(j, k, g)(\mathbb{Z}/p^2)$ ($\pi_{i;j,k}(W) = W \cap D_g$).

Case of subvarieties.

Let $\mathfrak{V}, \eta, \tilde{\eta}$ be as in (4.1.6), i.e. \mathfrak{V} a subvariety of X such that for a generic point $t \in \mathfrak{V}(K)$ the reduction at p of the corresponding abelian variety A_t is ordinary, and $\eta, \tilde{\eta}$ the Abel-Jacobi images of $\mathfrak{V}, \tilde{\mathfrak{V}}$ respectively. We denote the Abel-Jacobi image of $\Phi_j \circ \Phi_k(\tilde{V})$ by $\eta_{j,k}$.

Conjecture 6.1.5. The analog of (4.1.7) is the following:

$$\Phi_j \circ \Phi_k(\tilde{\eta}) = p^{b(g-j)+b(g-k)} \eta_{j,k}$$

Substituting (6.1.5) to (6.1.1) we get

$$T_{p,i}(\tilde{\eta}) = a_{p,i} \tilde{\eta} = \sum_{j,k \geq 0, j+i \leq k}^g R_{k-j}(i) \cdot p^{-b(k-j)+b(g-j)+b(g-k)} \eta_{j,k} \quad (6.1.6)$$

Conjecture 6.1.7. For all g, i, j, k the coefficient $R_{k-j}(i) \cdot p^{-b(k-j)+b(g-j)+b(g-k)}$ of (6.1.6) is the quantity of points in the fiber of $\pi_{i;j,k}$.

Remark. This conjecture is checked by explicit calculation for the case $i = 1, g = 3$. The explicit formula for this case is

$$T_{p,1}(\tilde{\eta}) = p^8 \eta_{01} + p^3 \eta_{12} + \eta_{23} + (p^6 - p^4) \eta_{02} + (p^2 - 1) \eta_{13} + (p^3 - 1) \eta_{03} \quad (6.1.8)$$

6.2. Case of non-ordinary points.

Here $V \subset X$ is from (4.0). I can only guess what is the analog of formulas (4.2.1), (4.2.2). Most likely there are subvarieties $\Psi_{j,k}(V) \subset \tilde{X}$, $j, k = 0, \dots, g-1$ such that

$$\overline{\Phi_j \circ \Phi_k(V)} = \Psi_{j,k}(V) \cup \Psi_{j-1,k}(V) \cup \Psi_{j,k-1}(V) \cup \Psi_{j-1,k-1}(V) \quad (6.2.1)$$

Question 6.2.2. Is $\Psi_{j,k}(V) = \Psi_{k,j}(V)$?

I think that yes.

Like above we denote the Abel-Jacobi image of $\Psi_{j,k}(V)$ by $z_{j,k}$.

Question 6.2.3. What are coefficients in the analog (4.2.2)?

We can expect (taking into consideration (6.1.5)) that

$$\Phi_j \circ \Phi_k(\tilde{y}_1) = p^{b(g-j)+b(g-k)}[z_{j,k} + p^{g-j}z_{j-1,k} + p^{g-k}z_{j,k-1} + p^{(g-j)+(g-k)}z_{j-1,k-1}] \quad (6.2.4)$$

We denote by (6.2.5) the result of substitution of (6.2.4) to (6.1.6). Particularly, for the case $i = 1, g = 3$ we have

$$\begin{aligned} \tilde{T}_{p,1}(\tilde{y}_1) &= p^{10}z_{00} + (p^8 + p^7 + p^6 - p^5)z_{01} + p^4z_{11} + \\ &+ (p^6 + 2p^5 - 2p^2)z_{02} + (p^3 + p^2 + p - 1)z_{12} + z_{22} \end{aligned} \quad (6.2.6)$$

We define D_{g-1}^\perp, D_{g-1} like in (4.2). In our case $D_{g-1} = (\mathbb{Z}/p^2)^{g-1}$ as an abstract module.

Conjecture 6.2.6a. The following analogs of (4.2.3), (4.2.4) hold (by analogy with (6.1.4); $t', t'_1, t'_2, W, W_1, W_2$ have the analogous meaning):

$$\tilde{t}' \in \Psi_{j,k}(t) \iff W \cap D_{g-1} = (\mathbb{Z}/p)^{k-j} \oplus (\mathbb{Z}/p^2)^j \quad (6.2.7)$$

($k \geq j; j, k = 0, \dots, g-1$; equality of abstract modules);

$$\tilde{t}'_1 = \tilde{t}'_2 \iff W_1 \cap D_{g-1} = W_2 \cap D_{g-1} \quad (6.2.8)$$

Partition (*). We denote by $S_{g,i}^*(j, k)$ the set of W of type $T_{p,i}$ such that $W \cap D_{g-1} = (\mathbb{Z}/p)^{k-j} \oplus (\mathbb{Z}/p^2)^j$ as abstract modules. Sets $S_{g,i}^*(j, k)$ form a partition of $S_{g,i}$. There is the natural projection $\pi_{i,j,k}^* : S_{g,i}^*(j, k) \rightarrow G(j, k, g-1)(\mathbb{Z}/p^2)$ ($\pi_{i,j,k}^*(W) = W \cap D_{g-1}$).

6.2.9. It is natural to expect that the coefficient at $z_{j,k}$ in (6.2.5) for any g, i, j, k is equal to the quantity of points in the fiber of $\pi_{i,j,k}^*$, but this is not true. Explicit calculation of the quantity of points in the fiber of $\pi_{i,j,k}^*$ for the case $i = 1, g = 3$ is given in Appendix 2. This calculation shows that this is true for all pairs (j, k) except the pair $(0, 2)$: the quantity of points in the fiber of $\pi_{i,j,k}^*$ is (see theorem A2.4, $(j, \nu) = (0, 2)$, where $\nu = k - j$, and $\mu = 0, 1, 2$)

$$p^6 + 2p^5 - p^3 - 2p^2 \quad (6.2.10)$$

while the coefficient at z_{02} is (see 6.2.6)

$$p^6 + 2p^5 - 2p^2 \quad (6.2.11)$$

I do not know how to explain this difference.

Remark. The “type” of W in (6.2.7) depends not only on numbers j, k , but on a number μ from the equality

$$pW \cap D_{g-1} = (\mathbb{Z}/p)^\mu \quad (6.2.12)$$

(see Appendix 2). I do not know what is the influence of μ on the above formulas.

6.3. Application to irreducible components of $T_{p,i}(V)$.

Apparently, the situation is similar to the one of section 4.3. We use notations of this section. Formulas 4.3.0, 4.3.1 hold for $\mathfrak{X}_p = T_{p,i}$, (4.3.2) is rewritten as

$$S_{g,i} = \bigcup_{l \in L} S'_{g,i}(l) \quad (6.3.2)$$

and the conjecture (4.3.4) is rewritten as

$$\tilde{\mathfrak{z}}_l = \sum_{j,k} c_{jk} \iota \Phi_j \Phi_k(\tilde{y}_1) \quad (6.3.3)$$

An analog of $\pi'_{j,l}$ is the following. For any $l \in L$, for any $j, k = 0, \dots, g-1$ we consider the set $S_{g,i}^*(j, k) \cap S'_{g,i}(l)$ and the restriction of $\pi_{i;j,k}^* : S_{g,i}^*(j, k) \rightarrow G(j, k, g-1)$ on it. We denote this restriction by $\pi'_{i;j,k;l} : S_{g,i}^*(j, k) \cap S'_{g,i}(l) \rightarrow G(j, k, g-1)$. Analog of 4.3.5 is the following

Condition 6.3.4. For any $t \in G(j, k, g-1)$ the quantity of points in the fiber $(\pi'_{i;j,k;l})^{-1}(t)$ is the same (does not depend on t).

We denote this quantity by n_{ijkl} .

Conjecture 6.3.5. We have a formula

$$\tilde{\mathfrak{z}}_l = \sum_{j,k=0}^{g-1} \frac{n_{ijkl}}{\alpha_l} z_{j,k}$$

Partition (6.3.2) for $g = 3$, $\mathfrak{X}_p = T_{p,1}$ is not known completely. We know $S'_{3,1}(l)$ for $l \in L_{good} \times \text{Gal}(K^p/K)$ and $l = j_0$ (notations of section 5). Since $g = 3$, we have $D_{g-1} = D_2$ is 1-dimensional over $O(K_p)/p^2O(K_p)$, hence the phenomenon of section 4.5 does not hold for this case and we can expect that condition 6.3.4 is true for $g = 3$, $\mathfrak{X}_p = T_{p,1}$.

Appendix 1. Calculation of \tilde{B}

(2.2) shows that we can identify the basis $\mathfrak{B} = \{e_1, e_2, e_3, e_4\}$ of \tilde{E}_M with the basis $\{\mathfrak{f}_0, \mathfrak{f}_2, \mathfrak{f}_3, \mathfrak{f}_{23}\}$. In our case $\mathfrak{d} = 2$, i.e. $e_{\mathfrak{d}+1} = e_3$. According formulas of Section 2 ((2.10), (2.27), (2.28) and others), we have:

$(\tilde{y}_1)_{l^\infty}$ — the image of $\text{im}(\tilde{y}_1)$ in $\tilde{E}_M = \tilde{E}(\mathbb{F}_{p^2})_{l^\infty}$ is $\gamma e_3 = \gamma \mathfrak{f}_3$

where $\gamma \in (\mathbb{Z}/M)^*$. Application of (4.4.6) to the case $I = (3)$ gives us the following table of eigenvalues of elements of $\mathbb{H}(\mathfrak{X})$ acting on eigenvector e_3 in \tilde{E}_M (the second and fifth lines of the table are obtained by application of (4.4.6), the third and the sixth lines are obtained by application of (4.4.7):

| | | | | |
|---------------------------------------|-------------|----------------|-------------|-------------|
| Element of $\mathbb{H}(\mathfrak{X})$ | $U_1U_2U_3$ | $U_1U_2V_3$ | $U_1V_2U_3$ | $U_1V_2V_3$ |
| Its eigenvalue | $a_0b_1b_2$ | $a_0b_1b_2b_3$ | a_0b_1 | $a_0b_1b_3$ |
| Its residue modulo M | -1 | 1 | -1 | 1 |
| Element of $\mathbb{H}(\mathfrak{X})$ | $V_1U_2U_3$ | $V_1U_2V_3$ | $V_1V_2U_3$ | $V_1V_2V_3$ |
| Its eigenvalue | a_0b_2 | $a_0b_2b_3$ | a_0 | a_0b_3 |
| Its residue modulo M | 1 | -1 | 1 | -1 |

Using (4.4.5) we get that the eigenvalues of Φ_i , $i = 0, 1, 2, 3$, on \tilde{y}_1 are

$$-1, \quad 1, \quad 1, \quad -1 \tag{A1.1}$$

respectively (all formulas are in \tilde{E}_M).

(4.2.2) for $g = 3$ is the following:

$$\begin{aligned} \Phi_0(\tilde{y}_1) &= p^6 z_0 \\ \Phi_1(\tilde{y}_1) &= p^5 z_0 + p^3 z_1 \\ \Phi_2(\tilde{y}_1) &= p^2 z_1 + p z_2 \\ \Phi_3(\tilde{y}_1) &= z_2 \end{aligned} \tag{A1.2}$$

(A1.1), (A1.2) and $p \equiv -1 \pmod{M}$ imply that $z_0 = z_2 = -(\tilde{y}_1)_{l^\infty}$, $z_1 = 0$. Substituting these values in (4.3.10), (4.3.11) we get that $\kappa_p \equiv 0 \pmod{M}$ and both $(\tilde{y}_p)_{l^\infty}$, $(\tilde{y}_{p,bad})_{l^\infty}$ are 0.

Appendix 2. Calculation of the quantity of points in the fiber of $\pi_{1,i;j,k}^*$

We use notations: $R = \mathbb{Z}/p^2$, $B = (A_t)_{p^2} = R^6$. Let e_1, \dots, e_6 be an R -basis of B such that the matrix of the skew form on B in e_1, \dots, e_6 is J_6 . Further, let $D = D_2 = \langle e_1, e_2 \rangle$, W is an isotropic R -submodule of B which is isomorphic to $R^2 \oplus (\mathbb{F}_p)^2$ as an abstract module. We denote $W_4 = W \cap pB$, $W_2 = W_4^\perp = pW$. Finally, we denote $k - j$ by ν .

We have: $W \cap D = R^j \oplus (\mathbb{F}_p)^\nu$, $W_2 \cap D = \mathbb{F}_p^\mu$, j, ν, μ are invariants of a given W (μ of 6.2.12).

Problem: for each possible triples j, ν, μ find the quantity of W with these invariants.

Lemma A2.1. For a fixed W_4 there are p^3 W such that its W_4 is the fixed one.

Proof. I think that this quantity does not depend on a choice of W_4 . If we take $W_4 = \langle e_1, \dots, e_4 \rangle$ then it is possible always to choose $W = \langle e_2 + p\alpha_{25}e_5 + p\alpha_{26}e_6, e_3 + p\alpha_{35}e_5 + p\alpha_{36}e_6, pe_1, pe_4 \rangle$ where (α_{**}) is a symmetric matrix, and this representation is unique. \square

We consider in the following lemma the case of spaces over \mathbb{F}_p . Let $B = \mathbb{F}_p^6$, $D \subset B$ a fixed isotropic subspace of dimension 2, W_2 a variable isotropic subspace of dimension 2, and $W_4 = W_2^\perp$. We denote $j_4 = \dim W_4 \cap D$, $j_2 = \dim W_2 \cap D$.

Lemma A2.2. The quantity of W_4 with given j_4, j_2 is given by the following table:

$$\begin{array}{ccccc}
 & & & j_4 & \\
 & & & 0 & 1 & 2 \\
 & & & 0 & p^7 & p^6 + 2p^5 + p^4 & 0 \\
 j_2 & 1 & 0 & p^4 + p^3 & p^3 + 2p^2 + p & \\
 & 2 & 0 & 0 & 1 &
 \end{array} \tag{A2.3}$$

P r o o f. Always we consider the quantity of W_2 with a base x_1, x_2 . A fixed W_2 has $(p^2 - 1)(p^2 - p)$ such bases.

(a) $j_4 = 0$. $W_4 \cap D = 0 \iff W_2 \oplus D^\perp = B \iff W_2 \cap D^\perp = 0$. There are $p^6 - p^4$ possibilities for x_1 ; we have: $x_2 \in x_1^\perp - \langle x_1, D^\perp \rangle$. It is easy to check that always $x_1^\perp \neq \langle x_1, D^\perp \rangle$, i.e. there are always $p^5 - p^4$ possibilities for x_2 .

(b) $j_2 = 0$. There are $p^6 - p^2$ possibilities for x_1 ; we have: $x_2 \in x_1^\perp - \langle x_1, D \rangle$.

There are 2 possibilities:

(1) $x_1^\perp \supset \langle x_1, D \rangle$;

(2) $x_1^\perp \not\supset \langle x_1, D \rangle$

(1) $\iff x_1^\perp \supset D \iff x_1 \in D^\perp$. There are $p^4 - p^2$ of such x_1 , so there are $p^6 - p^4$ of x_1 of type (2). The quantity of x_2 for x_1 of type (1) is $p^5 - p^3$ and the quantity of x_2 for x_1 of type (2) is $p^5 - p^2$. The desired quantity is $\frac{(p^4 - p^2)(p^5 - p^3) + (p^6 - p^4)(p^5 - p^2)}{(p^2 - 1)(p^2 - p)} = p^7 + p^6 + 2p^5 + p^4$.

(c) $j_4 = 2$. $W_4 \supset D \iff W_2 \subset D^\perp$. There are $p^4 - 1$ possibilities for x_1 ; we have: $x_2 \in (x_1^\perp \cap D^\perp) - \langle x_1 \rangle$.

There are 2 possibilities:

(1) $x_1^\perp \supset D^\perp$;

(2) $x_1^\perp \not\supset D^\perp$

(1) $\iff x_1 \in D$. There are $p^2 - 1$ of such x_1 , so there are $p^4 - p^2$ of x_1 of type (2). The quantity of x_2 for x_1 of type (1) is $p^4 - p$ and the quantity of x_2 for x_1 of type (2) is $p^3 - p$. The desired quantity is $\frac{(p^2 - 1)(p^4 - p) + (p^4 - p^2)(p^3 - p)}{(p^2 - 1)(p^2 - p)} = p^3 + 2p^2 + p + 1$.

(d) $j_2 = 1$. Firstly we consider only W_2 such that $W_2 \cap D = \langle e_2 \rangle$, and we take $x_1 = e_2$. So, $x_2 = \alpha_1 e_1 + \alpha_2 e_2 + \alpha_3 e_3 + \alpha_4 e_4 + \alpha_6 e_6$. Condition on x_2 : $(\alpha_3, \alpha_4, \alpha_6) \neq (0, 0, 0)$. There are $p^5 - p^2$ of such x_2 . For any W_2 there are $p^2 - p$ of x_2 -s that give us this W_2 . So, the quantity of W_2 such that $W_2 \cap D = \langle e_2 \rangle$ is $\frac{p^5 - p^2}{p^2 - p}$ and the quantity of W_2 such that $j_2 = 1$ is $(p + 1) \frac{p^5 - p^2}{p^2 - p} = p^4 + 2p^3 + 2p^2 + p$.

This gives us all entries of the table. \square

Now for each type of the table we calculate quantities of W of different types.

(a). Case $j_2 = 2$. There are p^3 W over it, they have form $W = \langle e_1 + p\alpha_{14}e_4 + p\alpha_{15}e_5, e_2 + p\alpha_{24}e_4 + p\alpha_{25}e_5, pe_3, pe_6 \rangle$ where (α_{**}) is a symmetric matrix of lemma A2.1. We have:

$$W \cap D = (\mathbb{Z}/p^2)^r \oplus \mathbb{Z}/p^{2-r} \text{ where } r \text{ is the corank of } (\alpha_{**}).$$

(b) Case $j_2 = 1, j_4 = 2$. I think that the quantities of W over a W_2 with a given type of intersections with D does not depend on a choose of W_2 . For the case $W_2 = p \langle e_2, e_3 \rangle$ a W over it has a form $W = \langle e_2 + p\alpha_{25}e_5 + p\alpha_{26}e_6, e_3 + p\alpha_{35}e_5 + p\alpha_{36}e_6, pe_1, pe_4 \rangle$ where (α_{**}) is a symmetric matrix of lemma A2.1. We have:

$$\alpha_{25} = \alpha_{26} = 0 \iff W \cap D = \mathbb{Z}/p^2 \oplus \mathbb{Z}/p$$

there are p such spaces W , and

$$(\alpha_{25}, \alpha_{26}) \neq (0, 0) \iff W \cap D = (\mathbb{Z}/p)^2$$

there are $p^3 - p$ such spaces W .

(c) Case $j_2 = 1, j_4 = 1$. For the case $W_2 = p \langle e_2, e_4 \rangle$ a W over it has a form $W = \langle e_2 + p\alpha_{21}e_1 + p\alpha_{25}e_5, e_4 + p\alpha_{41}e_1 + p\alpha_{45}e_5, pe_3, pe_6 \rangle$ where (α_{**}) is a symmetric matrix of lemma A2.1. We have:

$$\alpha_{25} = 0 \iff W \cap D = \mathbb{Z}/p^2$$

there are p^2 such spaces W , and

$$\alpha_{25} \neq 0 \iff W \cap D = \mathbb{Z}/p$$

there are $p^3 - p^2$ such spaces W . \square

So, we have the following

Theorem A2.4. The quantities of W with invariants (i, ν, μ) are the following:

| (j, ν, μ) | $W \cap D$ | j_2 | j_4 | quantity |
|-----------------|--|-------|-------|--------------------|
| 0, 0, 0 | 0 | 0 | 0 | p^{10} |
| 0, 1, 0 | \mathbb{Z}/p | 0 | 1 | $p^9 + 2p^8 + p^7$ |
| 0, 1, 1 | \mathbb{Z}/p | 1 | 1 | $p^7 - p^5$ |
| 1, 0, 1 | \mathbb{Z}/p^2 | 1 | 1 | $p^6 + p^5$ |
| 0, 2, 0 | $\mathbb{Z}/p \oplus \mathbb{Z}/p$ | 0 | 2 | 0 |
| 0, 2, 1 | $\mathbb{Z}/p \oplus \mathbb{Z}/p$ | 1 | 2 | $(p-1)p^2(p+1)^3$ |
| 0, 2, 2 | $\mathbb{Z}/p \oplus \mathbb{Z}/p$ | 2 | 2 | $p^3 - p^2$ |
| 1, 1, 1 | $\mathbb{Z}/p^2 \oplus \mathbb{Z}/p$ | 1 | 2 | $p^4 + 2p^3 + p^2$ |
| 1, 1, 2 | $\mathbb{Z}/p^2 \oplus \mathbb{Z}/p$ | 2 | 2 | $p^2 - 1$ |
| 2, 0, 2 | $\mathbb{Z}/p^2 \oplus \mathbb{Z}/p^2$ | 2 | 2 | 1 |

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АННОТАЦИЯ

Кольвагин доказал, что группа Шафаревича-Тэйта эллиптической кривой аналитического ранга 0 или 1, определённой над \mathcal{O} , конечна. В работе предлагается программа обобщения этого результата на случай фактормотивов мотивов когомологий многомерных многообразий Шимуры. В первой части работы доказаны результаты, являющиеся первыми шагами этой программы. В частности, показано, как можно обойти препятствия, связанные с тем, что характеристический многочлен эндоморфизма Фробениуса в многомерном случае более сложен, чем в одномерном, и с тем, что размерность пространства когомологий в многомерном случае больше, чем в одномерном. Метод заключается во введении понятия псевдо-эйлеровых систем. Это понятие слабее, чем эйлеровы системы Кольвагина в одномерном случае, однако достаточно для доказательства теоремы. Основная теорема нашей работы утверждает, что если нетривиальные псевдо-эйлеровы системы существуют, то группа Шафаревича-Тэйта конечна.

Проблема, однако, состоит в конструкции нетривиальных псевдо-эйлеровых систем. Здесь остаются многочисленные препятствия, которые автор оставляет как тему будущих исследований. Наиболее сложное препятствие — нахождение многомерного (то есть для случая многомерных многообразий Шимуры) аналога результата Загира о высоте точек Хегнера на модулярных кривых. Вторая часть работы состоит из гипотетических вычислений, показывающих, что нет никаких оснований думать, что нетривиальные псевдо-эйлеровы системы не существуют. Кроме того, в работе представлены гипотетические вычисления, дающие обобщение соотношений редукции Кольвагина на многомерный случай.

Ключевые слова: *многообразия Шимуры, группа Шафаревича-Тэйта, мотивы, псевдо-эйлеровы системы*